

2022

# Database Management System

Dr. Babasaheb Ambedkar Open University



# Database Management System

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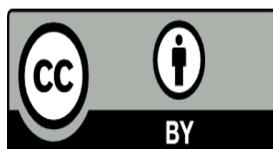
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## **Database Management System**

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### **BLOCK1: INTRODUCTION OF DATABASE AND DATA MODEL**

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#### **UNIT-1**

INTRODUCTION TO DATABASE SYSTEMS 05

#### **UNIT-2**

DATABASE HISTORY 15

#### **UNIT-3**

DATA MODELLING 25

#### **UNIT-4**

DATA MODELS 33

---

### **BLOCK-2: E-R MODEL**

---

#### **UNIT-1**

RELATIONAL DATA MODEL 38

#### **UNIT-2**

ENTITY -RELATIONSHIP MODEL 45

#### **UNIT-3**

INTEGRITY RULES AND CONSTRAINTS 62

## **UNIT-4**

RELATIONAL DESIGN AND REDUNDANCY

75

---

## **BLOCK-3: FUNCTIONAL DEPENDENCIES AND NORMALIZATION**

---

### **UNIT-1**

FUNCTIONAL DEPENDENCIES

84

### **UNIT-2**

INTRODUCTION TO DATA NORMALIZATION

93

---

## **BLOCK-4: SQL-STATEMENTS**

---

### **UNIT-1**

INTRODUCTION TO SQL

106

### **UNIT-2**

SQL-DATA MANIPULATION LANGUAGE

123

### **UNIT-3**

SQL- JOIN STATEMENT

149

### **UNIT-4**

DATABASE DEVELOPMENT PROCESS

157

---

# **BLOCK – 1**

## **INTRODUCTION TO DATABASE AND DATAMODEL**

# Unit 1: Introduction to Database systems

1

## Unit Structure

- 1.1. Learning Objectives
- 1.2. Introduction to database
- 1.3. Database management system
- 1.4. Characteristics And Benefits Of A Database
- 1.5. Let Us Sum Up
- 1.6. Glossary
- 1.7. Check Your Progress
- 1.8. Further Reading
- 1.9. Assignments

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## 1.1 LEARNING OBJECTIVES

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After studying this unit student should be able to:

- Introduction to database
- Database Management System
- Characteristics And Benefits Of A Database

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## 1.2 INTRODUCTION TO DATABASE

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What Is a Database?

A *database* is a shared collection of related data used to support the activities of a particular organization. A database can be viewed as a repository of data that is defined once and then accessed by various users as shown in Figure 2.1.

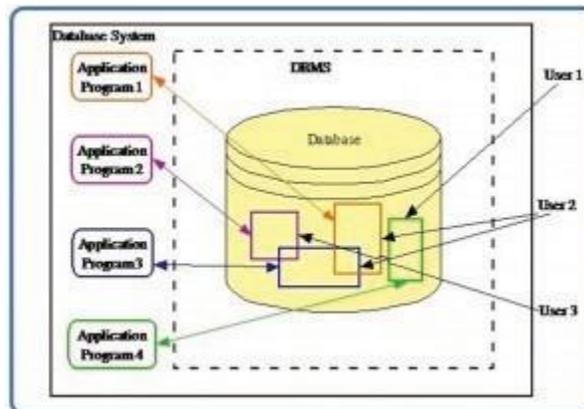


Figure 1.1. A database is a repository of data

### DATABASE PROPERTIES

A database has the following properties:

- It is a representation of some aspect of the real world or a collection of *data elements* (facts) representing real-world information.

- A database is logical, coherent and internally consistent.
- A database is designed, built and populated with data for a specific purpose.
- Each data item is stored in a field.
- A combination of fields makes up a *table*. For example, each field in an employee table contains data about an individual employee.

A database can contain many tables. For example, a membership system may contain an address table and an individual member table as shown in Figure 2.2. Members of Science World are individuals, group homes, businesses and corporations who have an active membership to Science World. Memberships can be purchased for a one- or two-year period, and then renewed for another one- or two-year period.

In Figure, Minnie Mouse renewed the family membership with Science World. Everyone with membership

FirstName	LastName	YYMM	G	BARCODE	V	DATE	TIME	F
Mickey	Mouse	0000	M	10000001	4	20130810	10:12:29	y
Minnie	Mouse	0000	F	10000002	4	20130810	10:12:29	y
Mighty	Mouse	0000	M	10000003	4	20130810	10:12:29	y
Door	Mouse	0000	F	10000004	4	20130810	10:12:29	y
Tom	Mouse	0000	M	10000005	4	20130810	10:12:29	y
King	Rat	0000	M	10000006	4	20130810	10:12:29	y
Man	Mouse	0000	M	10000007	4	20130810	10:12:29	y
Moose	Mouse	0000	M	10000008	4	20130810	10:12:29	y

Figure1.2: Membership system at Science World.

ID#100755 lives at 8932 Rodent Lane. The individual members are Mickey Mouse, Minnie Mouse, Mighty Mouse, Door Mouse, Tom Mouse, King Rat, Man Mouse and Moose Mous

---

## 1.2 DATABASE MANAGEMENT SYSTEM

---

A **database management system (DBMS)** is a collection of programs that enables users to create and maintain databases and control all access to them. The primary goal of a DBMS is to provide an environment that is both convenient and efficient for users to retrieve and store information.

With the database approach, we can have the traditional banking system as shown in Figure. In this bank example, a DBMS is used by the Personnel Department, the Account Department and the Loan Department to access the shared corporate database.

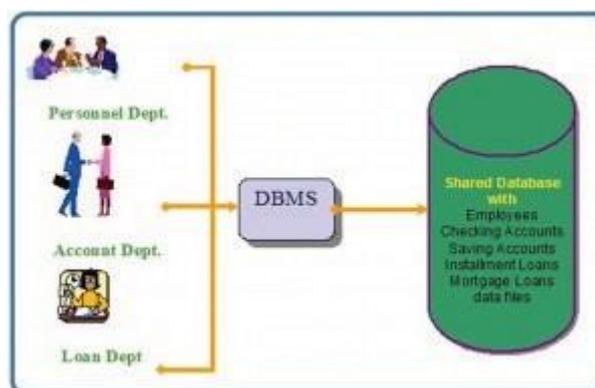


Figure 1.3: A bank database management system (DBMS).

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## 1.3 CHARACTERISTICS AND BENEFITS OF A DATABASE

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Managing information means taking care of it so that it works for us and is useful for the tasks we perform. By using a DBMS, the information we collect and add to its database is no longer subject to accidental disorganization. It becomes more accessible and integrated with the rest of our work. Managing information using a database allows us to become strategic users of the data we have.

We often need to access and re-sort data for various uses. These may include:

- Creating mailing lists

- Writing management reports
- Generating lists of selected news stories
- Identifying various client needs

The processing power of a database allows it to manipulate the data it houses, so it can:

- Sort
- Match
- Link
- Aggregate
- Skip fields
- Calculate
- Arrange

Because of the versatility of databases, we find them powering all sorts of projects. A database can be linked to:

- A website that is capturing registered users
- A client-tracking application for social service organizations
- A medical record system for a health care facility
- Your personal address book in your email client
- A collection of word-processed documents
- A system that issues airline reservations

### **Characteristics and Benefits of a Database**

There are a number of characteristics that distinguish the database approach from the file-based system or approach. This chapter describes the benefits (and features) of the database system.

### **Self-describing nature of a database system**

A database system is referred to as *self-describing* because it not only contains the database itself, but also *metadata* which defines and describes the data and relationships between tables in the database. This information is used by the DBMS software or database users if needed. This separation of data and information about the data makes a database system totally different from the traditional file-based system in which the data definition is part of the application programs.

### **Insulation between program and data**

In the file-based system, the structure of the data files is defined in the application programs so if a user wants to change the structure of a file, all the programs that access that file might need to be changed as well.

On the other hand, in the database approach, the data structure is stored in the system catalogue and not in the programs. Therefore, one change is all that is needed to change the structure of a file. This insulation between the programs and data is also called program-data independence.

### **Support for multiple views of data**

A database supports multiple views of data. A *view* is a subset of the database, which is defined and dedicated for particular users of the system. Multiple users in the system might have different views of the system. Each view might contain only the data of interest to a user or group of users.

### **Sharing of data and multiuser system**

Current database systems are designed for multiple users. That is, they allow many users to access the same database at the same time. This access is achieved through features called *concurrency control strategies*. These strategies ensure that the data accessed are always correct and that data integrity is maintained.

The design of modern multiuser database systems is a great improvement from those in the past which restricted usage to one person at a time.

### **Control of data redundancy**

In the database approach, ideally, each data item is stored in only one place in the database. In some cases, data redundancy still exists to improve system

performance, but such redundancy is controlled by application programming and kept to minimum by introducing as little redundancy as possible when designing the database.

### **Data sharing**

The integration of all the data, for an organization, within a database system has many advantages. First, it allows for data sharing among employees and others who have access to the system. Second, it gives users the ability to generate more information from a given amount of data than would be possible without the integration.

### **Enforcement of integrity constraints**

Database management systems must provide the ability to define and enforce certain constraints to ensure that users enter valid information and maintain data integrity. A *database constraint* is a restriction or rule that dictates what can be entered or edited in a table such as a postal code using a certain format or adding a valid city in the City field.

There are many types of database constraints. *Data type*, for example, determines the sort of data permitted in a field, for example numbers only. *Data uniqueness* such as the primary key ensures that no duplicates are entered. Constraints can be simple (field based) or complex (programming).

### **Restriction of unauthorized access**

Not all users of a database system will have the same accessing privileges. For example, one user might have *read-only access* (i.e., the ability to read a file but not make changes), while another might have *read and write privileges*, which is the ability to both read and modify a file. For this reason, a database management system should provide a security subsystem to create and control different types of user accounts and restrict unauthorized access.

### **Data independence**

Another advantage of a database management system is how it allows for data independence. In other words, the system data descriptions or data describing data

(metadata) are separated from the application programs. This is possible because changes to the data structure are handled by the database management system and are not embedded in the program itself.

### **Transaction processing**

A database management system must include concurrency control subsystems. This feature ensures that data remains consistent and valid during transaction processing even if several users update the same information.

### **Provision for multiple views of data**

By its very nature, a DBMS permits many users to have access to its database either individually or simultaneously. It is not important for users to be aware of how and where the data they access is stored

### **Backup and recovery facilities**

Backup and recovery are methods that allow you to protect your data from loss. The database system provides a separate process, from that of a network backup, for backing up and recovering data. If a hard drive fails and the database stored on the hard drive is not accessible, the only way to recover the database is from a backup.

If a computer system fails in the middle of a complex update process, the recovery subsystem is responsible for making sure that the database is restored to its original state. These are two more benefits of a database management system.

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## **1.4 LET US SUM UP**

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In this chapter, we have studied the what is database and database properties. We also studied about how to work database management system. Finally, we ended the discussion with the Characteristics and Benefits of a Database.

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## **1.5 GLOSSARY**

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**data elements:** facts that represent real-world information

**database:** a shared collection of related data used to support the activities of a particular organization

**database management system (DBMS):** a collection of programs that enables users to create and maintain databases and control all access to them

**table:** a combination of fields

**concurrency control strategies:** features of a database that allow several users access to the same data item at the same time

**datatype:** determines the sort of data permitted in a field, for example numbers only

**data uniqueness:** ensure that no duplicates are entered

**database constraint:** a restriction that determines what is allowed to be entered or edited in a table

**metadata:** defines and describes the data and relationships between tables in the database

**read and write privileges:** the ability to both read and modify a file

**read-only access:** the ability to read a file but not make changes

**self-describing:** a database system is referred to as self-describing because it not only contains the database itself, but also metadata which defines and describes the data and relationships between tables in the database

**view:** a subset of the database

---

## 1.5 CHECK YOUR PROGRESS

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1	Database is collections of _____.			
	A	Modules	B	Data
	C	Programs	D	None
2	_____ is collection of interrelated data and set of program to access them.			
	A	Data Structure	B	Programming language
	C	Database Management System	D	Database
3	Which of the following is considered as DBMS ?			
	A	Oracle	B	Foxpro
	C	All of these	D	Access
4	DBMS should provide following feature(s) _____.			
	A	Protect data from system crash	B	All of these
	C	Safety of the information stored	D	Authorized access
5	Who created the first DBMS?			
	A	Edgar Frank Codd	B	Charles Babbage
	C	Charles Bachman	D	Sharon B. Codd

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. B-Data
2. C-Database management system
3. C- All of these
4. B- All of these
5. C- Charles Bachman

---

## 1.6 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

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## 1.7 ASSIGNMENT

---

- 1) What is a database management system (DBMS)?
- 2) What are the properties of a DBMS?
- 3) Provide three examples of a real-world database (e.g., the library contains a database of books).
- 4) How is a DBMS distinguished from a file-based system?
- 5) What is data independence and why is it important?
- 6) What is the purpose of managing information?
- 7) Discuss the uses of databases in a business environment.
- 8) What is metadata?

# Unit 2: Database History

# 2

## Unit Structure

- 2.1. Learning Objectives
- 2.2. File –based system
- 2.3. Classification based database system
- 2.4. Disadvantages of the file –based approach
- 2.5. Let us sum up
- 2.6. Glossary
- 2.7. Check Your Progress
- 2.8. Further Reading
- 2.9. Assignment

---

## 2.1 LEARNING OBJECTIVES

---

After studying this unit student should be able to:

- File-based system
- Classification based database system
- Disadvantages of the file-based approach
- Roles of databases in business

---

## 2.2 FILE –BASED SYSTEM

---

The way in which computers manage data has come a long way over the last few decades. Today's users take for granted the many benefits found in a database system. However, it wasn't that long ago that computers relied on a much less elegant and costly approach to data management called the file-based system.

### File-based System

One way to keep information on a computer is to store it in permanent files. A company system has a number of application programs; each of them is designed to manipulate data files. These application programs have been written at the request of the users in the organization. New applications are added to the system as the need arises. The system just described is called the *file-based system*.

Consider a traditional banking system that uses the file-based system to manage the organization's data shown in Figure 2.1. As we can see, there are different departments in the bank. Each has its own applications that manage and manipulate different data files. For banking systems, the programs may be used to debit or credit an account, find the balance of an account, add a new mortgage loan and generate monthly statements.



Figure 2.1. Example of a file-based system used by banks to manage data.

---

## 2.3 CLASSIFICATION BASED DATABASE SYSTEM

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### Classification Based on User Numbers

A DBMS can be classification based on the number of users it supports. It can be a *single-user database system*, which supports one user at a time, or a *multiuser database system*, which supports multiple users concurrently.

### Classification Based on Database Distribution

There are four main distribution systems for database systems and these, in turn, can be used to classify the DBMS.

#### Centralized systems

With a *centralized database system*, the DBMS and database are stored at a single site that is used by several othersystems too. This is illustrated in Figure 2.2.

In the early 1980s, many Canadian libraries used the GEAC 8000 to convert their manual card catalogues to machine-readable centralized catalogue systems. Each book catalogue had a barcode field similar to those on supermarket products.

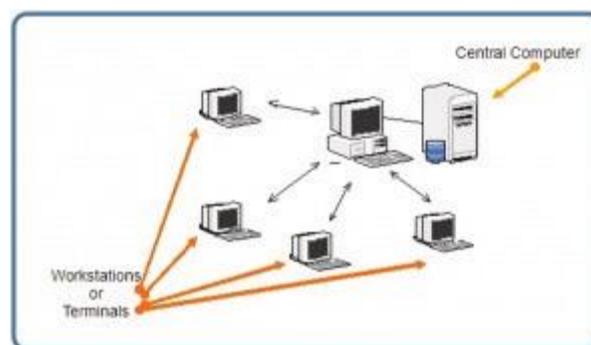


Figure 2.2. Example of a centralized database system.

#### Distributed database system

In a *distributed database system*, the actual database and the DBMS software are distributed from various sites that are connected by a computer network, as shown in Figure 2.3.

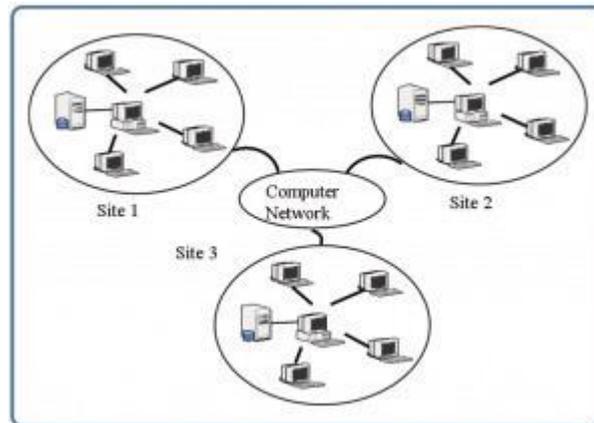


Figure 2.3. Example of a distributed database system.

### **Homogeneous distributed database systems**

*Homogeneous distributed database systems* use the same DBMS software from multiple sites. Data exchange between these various sites can be handled easily. For example, library information systems by the same vendor, such as Geac Computer Corporation, use the same DBMS software which allows easy data exchange between the various Geac library sites.

### **Heterogeneous distributed database systems**

In a *heterogeneous distributed database system*, different sites might use different DBMS software, but there is additional common software to support data exchange between these sites. For example, the various library database systems use the same machine-readable cataloguing (MARC) format to support library record data exchange.

---

## **2.4 DISADVANTAGES OF THE FILE-BASED APPROACH**

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### **Disadvantages of the file-based approach**

Using the file-based system to keep organizational information has a number of disadvantages. Listed below are five examples.

#### **Data redundancy**

Often, within an organization, files and applications are created by different programmers from various departments over long periods of time. This can lead to

*data redundancy*, a situation that occurs in a database when a field needs to be updated in more than one table. This practice can lead to several problems such as:

- Inconsistency in data format
- The same information being kept in several different places (files)
- *Data inconsistency*, a situation where various copies of the same data are conflicting, wastes storage space and duplicates effort

### **Data isolation**

*Data isolation* is a property that determines when and how changes made by one operation become visible to other concurrent users and systems. This issue occurs in a concurrency situation. This is a problem because:

- It is difficult for new applications to retrieve the appropriate data, which might be stored in various files.

### **Integrity problems**

Problems with *data integrity* is another disadvantage of using a file-based system. It refers to the maintenance and assurance that the data in a database are correct and consistent. Factors to consider when addressing this issue are:

- Data values must satisfy certain consistency constraints that are specified in the application programs.
- It is difficult to make changes to the application programs in order to enforce new constraints.

### **Security problems**

Security can be a problem with a file-based approach because:

- There are constraints regarding accessing privileges.
- Application requirements are added to the system in an ad-hoc manner so it is difficult to enforce constraints.

### **Concurrency access**

*Concurrency* is the ability of the database to allow multiple users access to the same record without adversely affecting transaction processing. A file-based system must manage, or prevent, concurrency by the application programs. Typically, in a file-based system, when an application opens a file, that file is locked. This means that no one else has access to the file at the same time.

In database systems, concurrency is managed thus allowing multiple users access to the same record. This is an important difference between database and file-based systems.

### **Database Approach**

The difficulties that arise from using the file-based system have prompted the development of a new approach in managing large amounts of organizational information called the *database approach*.

Databases and database technology play an important role in most areas where computers are used, including business, education and medicine. To understand the fundamentals of database systems, we will start by introducing some basic concepts in this area.

### **Role of databases in business**

Everybody uses a database in some way, even if it is just to store information about their friends and family. That data might be written down or stored in a computer by using a word-processing program or it could be saved in a spreadsheet. However, the best way to store data is by using *database management software*. This is a powerful software tool that allows you to store, manipulate and retrieve data in a variety of different ways.

Most companies keep track of customer information by storing it in a database. This data may include customers, employees, products, orders or anything else that assists the business with its operations.

### **The meaning of data**

Data are factual information such as measurements or statistics about objects and concepts. We use data for discussions or as part of a calculation. Data can be a

person, a place, an event, an action or any one of a number of things. A single fact is an element of data, or a *data element*.

If data are information and information is what we are in the business of working with, you can start to see where you might be storing it. Data can be stored in:

- Filing cabinets
- Spreadsheets
- Folders
- Ledgers
- Lists
- Piles of papers on your desk

All of these items store information, and so too does a database. Because of the mechanical nature of databases, they have terrific power to manage and process the information they hold. This can make the information they house much more useful for your work.

With this understanding of data, we can start to see how a tool with the capacity to store a collection of data and organize it, conduct a rapid search, retrieve and process, might make a difference to how we can use data. This book and the chapters that follow are all about managing information.

---

## 2.5 LET US SUM UP

---

In this chapter, we have studied the database history in file based system how it works and classification based database system. We also studied disadvantages of file based system approach. Finally, we ended the discussion with role of databases in business.

---

## 2.6 GLOSSARY

---

**data redundancy:** a situation that occurs in a database when a field needs to be updated in more than one table

**database approach:** allows the management of large amounts of organizational information

**database management software:** a powerful software tool that allows you to store, manipulate and retrieve data in a variety of ways

**file-based system:** an application program designed to manipulate data files

**centralized database system:** the DBMS and database are stored at a single site that is used by several othersystems too

**distributed database system:** the actual database and the DBMS software are distributed from various sitesthat are connected by a computer network

**heterogeneous distributed database system:** different sites might use different DBMS software, but thereis additional common software to support data exchange between these sites

**homogeneous distributed database systems:** use the same DBMS software at multiple sites

**multiuser database system:** a database management system which supports multiple users concurrently

**object-oriented data model:** a database management system in which information is represented in the formof objects as used in object-oriented programming

**single-user database system:** a database management system which supports one user at a time

**traditional models:** data models that preceded the relational model

---

## 2.7 CHECK YOUR PROGRESS

---

1	In a database, related fields are grouped to			
	A	File	B	Bank
	C	Menu	D	Data record
2	Which type of data can be stored in the database?			
	A	Image oriented data	B	Text, files containing data
	C	Data in the form of audio or video	D	All of the above
3	Disadvantages of File systems to store data is:			
	A	data isolation	B	data redundancy and inconsistency
	C	difficulty in accessing data	D	all options are correct
4	In which of the following formats data is stored in the database management			

	system?			
	A	Image	B	Text
	C	Table	D	Graph
5	Which of the following is not a type of database?			
	A	Hierarchical	B	Network
	C	Distributed	D	Decentralized

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. D-Data record
2. D—all of these
3. D-- All options are correct
4. C—Table
5. D- Decentralized

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## 2.8 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
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Website:

<https://www.sanfoundry.com/database-mcqs>

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## 2.9 ASSIGNMENT

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- 1) Discuss each of the following terms:
  - a. data
  - b. field
  - c. record
  - d. file
- 2) What is data redundancy?
- 3) Discuss the disadvantages of file-based systems.
- 4) Explain the difference between data and information.
- 5) What is the difference between centralized and distributed database systems?
- 6) What is the difference between homogenous distributed database systems and heterogeneous distributed database systems?

# Unit 3: Data Modelling

3

## Unit Structure

- 3.1. Learning Objectives
- 3.2. Introduction
- 3.3. Degrees of Abstraction
- 3.4. Data Abstraction Layer
- 3.5. Schemas
- 3.6. Let us sum up
- 3.7. Glossary
- 3.8. Check Your Progress
- 3.9. Further Reading
- 3.10. Assignment

---

## 3.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Degrees of abstraction
- Data abstraction Layer
- Schemas

---

## 3.2 Introduction

---

**Data modelling** is the first step in the process of database design. This step is sometimes considered to be a high-level and abstract design phase, also referred to as conceptual design. The aim of this phase is to describe:

- The data contained in the database (e.g., entities: students, lecturers, courses, subjects)
- The relationships between data items (e.g., students are supervised by lecturers; lecturers teach courses)
- The constraints on data (e.g., student number has exactly eight digits; a subject has four or six units of credit only)

In the second step, the data items, the relationships and the constraints are all expressed using the concepts provided by the high-level data model. Because these concepts do not include the implementation details, the result of the data modelling process is a (semi) formal representation of the database structure. This result is quite easy to understand so it is used as reference to make sure that all the user's requirements are met.

The third step is database design. During this step, we might have two sub-steps: one called *database logical design*, which defines a database in a data model of a specific DBMS, and another called *database physical design*, which defines the internal database storage structure, file organization or indexing techniques. These

two sub-steps are database implementation and operations/user interfaces building steps.

In the database design phases, data are represented using a certain data model. The *data model* is a collection of concepts or notations for describing data, data relationships, data semantics and data constraints. Most data models also include a set of basic operations for manipulating data in the database.

---

### 3.3 Degrees of Abstraction

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In this section we will look at the database design process in terms of specificity. Just as any design starts at a high level and proceeds to an ever-increasing level of detail, so does database design. For example, when building a home, you start with how many bedrooms and bathrooms the home will have, whether it will be on one level or multiple levels, etc. The next step is to get an architect to design the home from a more structured perspective. This level gets more detailed with respect to actual room sizes, how the home will be wired, where the plumbing fixtures will be placed, etc. The last step is to hire a contractor to build the home. That's looking at the design from a high level of abstraction to an increasing level of detail.

The database design is very much like that. It starts with users identifying the business rules; then the database designers and analysts create the database design; and then the database administrator implements the design using a DBMS.

The following subsections summarize the models in order of decreasing level of abstraction.

#### External models

- Represent the user's view of the database
- Contain multiple different external views
- Are closely related to the real world as perceived by each user

#### Conceptual models

- Provide flexible data-structuring capabilities
- Present a "community view": the logical structure of the entire database

- Contain data stored in the database
- Show relationships among data including:
  - Constraints
  - Semantic information (e.g., business rules)
  - Security and integrity information
- Consider a database as a collection of entities (objects) of various kinds
- Are the basis for identification and high-level description of main data objects; they avoid details
- Are database independent regardless of the database you will be using

### **Internal models**

The three best-known models of this kind are the relational data model, the network data model and the hierarchical data model. These internal models:

- Consider a database as a collection of fixed-size records
- Are closer to the physical level or file structure
- Are a representation of the database as seen by the DBMS.
- Require the designer to match the conceptual model's characteristics and constraints to those of the selected implementation model
- Involve mapping the entities in the conceptual model to the tables in the relational model

### **Physical models**

- Are the physical representation of the database
- Have the lowest level of abstractions
- Are how the data is stored; they deal with
  - Run-time performance
  - Storage utilization and compression
  - File organization and access methods
  - Data encryption
- Are the physical level – managed by the *operating system (OS)*
- Provide concepts that describe the details of how data are stored in the computer's memory

---

## 3.4 Data Abstraction Layer

---

In a pictorial view, you can see how the different models work together. Let's look at this from the highest level, the external model.

The external model is the end user's view of the data. Typically a database is an enterprise system that serves the needs of multiple departments. However, one department is not interested in seeing other departments' data (e.g., the human resources (HR) department does not care to view the sales department's data). Therefore, one user view will differ from another.

The external model requires that the designer subdivide a set of requirements and constraints into functional modules that can be examined within the framework of their external models (e.g., human resources versus sales).

As a data designer, you need to understand all the data so that you can build an enterprise-wide database. Based on the needs of various departments, the conceptual model is the first model created.

At this stage, the conceptual model is independent of both software and hardware. It does not depend on the DBMS software used to implement the model. It does not depend on the hardware used in the implementation of the model. Changes in either hardware or DBMS software have no effect on the database design at the conceptual level.

Once a DBMS is selected, you can then implement it. This is the internal model. Here you create all the tables, constraints, keys, rules, etc. This is often referred to as the logical design.

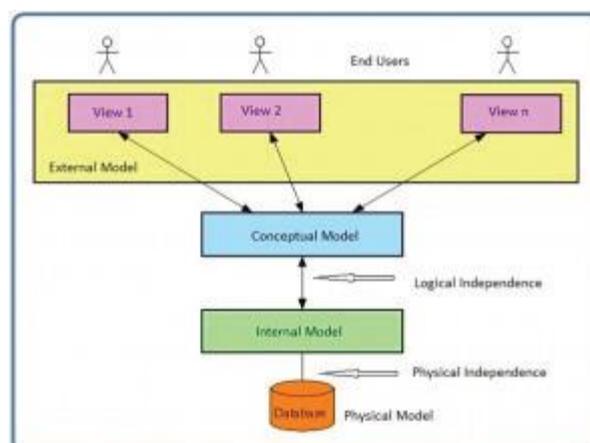


Figure 3.1: Data abstraction layers.

---

## 3.5 Schemas

---

A **schema** is an overall description of a database, and it is usually represented by the *entity relationship diagram (ERD)*. There are many subschemas that represent external models and thus display external views of the data.

Below is a list of items to consider during the design process of a database.

- External schemas: there are multiple
- Multiple subschemas: these display multiple external views of the data
- Conceptual schema: there is only one. This schema includes data items, relationships and constraints, all represented in an ERD.
- Physical schema: there is only one

### Logical and Physical Data Independence

*Data independence* refers to the immunity of user applications to changes made in the definition and organization of data. Data abstractions expose only those items that are important or pertinent to the user. Complexity is hidden from the database user.

Data independence and operation independence together form the feature of data abstraction. There are two types of data independence: logical and physical.

### Logical data independence

A *logical schema* is a conceptual design of the database done on paper or a whiteboard, much like architectural drawings for a house. The ability to change the logical schema, without changing the *external schema* or user view, is called *logical data independence*. For example, the addition or removal of new entities, attributes or relationships to this *conceptual schema* should be possible without having to change existing external schemas or rewrite existing application programs.

In other words, changes to the logical schema (e.g., alterations to the structure of the database like adding a column or other tables) should not affect the function of the application (external views).

### **Physical data independence**

*Physical data independence* refers to the immunity of the internal model to changes in the physical model. The logical schema stays unchanged even though changes are made to file organization or storage structures, storage devices or indexing strategy.

Physical data independence deals with hiding the details of the storage structure from user applications. The applications should not be involved with these issues, since there is no difference in the operation carried out against the data.

---

## **3.6 LET US SUM UP**

In this chapter, we have studied the meaning of data modelling. We also studied degrees of abstraction layer and summarize the models in order of decreasing level of abstraction. We studied about how works the data abstraction layer. Finally, we ended the discussion with schemas.

---

## **3.7 GLOSSARY**

**conceptual model:** the logical structure of the entire database

**conceptual schema:** another term for logical schema

**data independence:** the immunity of user applications to changes made in the definition and organization of data

**data model:** a collection of concepts or notations for describing data, data relationships, data semantics and data constraints

**Data modelling:** the first step in the process of database design

**database logical design:** defines a database in a data model of a specific database management system

**database physical design:** defines the internal database storage structure, file organization or indexing techniques

**entity relationship diagram (ERD):** a data model describing the database showing tables, attributes and relationships

**external model:** represents the user's view of the database

**external schema:** user view

**internal model:** a representation of the database as seen by the DBMS

**logical data independence:** the ability to change the logical schema without changing the external schema

**logical design:** where you create all the tables, constraints, keys, rules, etc.

**logical schema:** a conceptual design of the database done on paper or a whiteboard, much like architectural drawings for a house

**operating system (OS):** manages the physical level of the physical model

**physical data independence:** the immunity of the internal model to changes in the physical model

**physical model:** the physical representation of the database

**schema:** an overall description of a database

---

### 3.8 CHECK YOUR PROGRESS

---

1	Which of the following is not a level of data abstraction?			
	A	view level	B	physical level
	C	logical level	D	critical level
2	Which of the following is not an Schema?			
	A	logical schema	B	physical schema
	C	database schema	D	critical schema
3	Logical design of database is called			
	A	all of the options	B	database schema
	C	database instance	D	database snapshot
4	_____ of abstraction explains how data is actually stored and describes the Data Structure and Access methods used by database.			
	A	Conceptual Level	B	Physical level
	C	View level	D	None of these
5	View Level is highest level of abstraction.			
	A	True	B	False

---

### CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. D- critical level
2. D-critical schema

3. B- database schema
4. B- physical level
5. True

---

## 3.9 FURTHER READING

---

1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

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## 3.10 ASSIGNMENT

---

- 1) Describe the purpose of a conceptual design.
- 2) How is a conceptual design different from a logical design?
- 3) What is an external model?
- 4) What is a conceptual model?
- 5) What is an internal model?
- 6) What is a physical model?
- 7) Which model does the database administrator work with?
- 8) Which model does the end user work with?
- 9) What is logical data independence?
- 10) What is physical data independence?

# Unit 4: Data Models

# 4

## Unit Structure

- 4.1. Learning Objectives
- 4.2. High Level Conceptual Data
- 4.3. Record Based Logical Data
- 4.4. Let Us Sum Up
- 4.5. Glossary
- 4.6. Check your progress
- 4.7. Further Reading
- 4.8. Assignments

---

## 4.1 LEARNING OBJECTIVES

---

After studying this unit student should be able to:

- High level conceptual data
- Record –based logical data

---

## 4.2 HIGH LEVEL CONCEPTUAL DATA

---

High-level conceptual data models provide concepts for presenting data in ways that are close to the way people perceive data. A typical example is the entity relationship model, which uses main concepts like entities, attributes and relationships. An entity represents a real-world object such as an employee or a project. The entity has attributes that represent properties such as an employee's name, address and birthdate. A relationship represents an association among entities; for example, an employee works on many projects. A relationship exists between the employee and each project.

---

## 4.3 RECORD –BASED LOGICAL DATA

---

Record-based logical data models provide concepts users can understand but are not too far from the way data is stored in the computer. Three well-known data models of this type are relational data models, network data models and hierarchical data models.

- The *relational model* represents data as *relations*, or tables. For example, in the membership system at Science World, each membership has many members (see Figure 1.2 in Chapter 1). The membership identifier, expiry date and address information are fields in the membership. The members are individuals such as Mickey, Minnie, Mighty, Door, Tom, King, Man and Moose. Each record is said to be an *instance* of the membership table.

- The *network model* represents data as record types. This model also represents a limited type of one to many relationships called a *set type*, as shown in Figure 4.1.

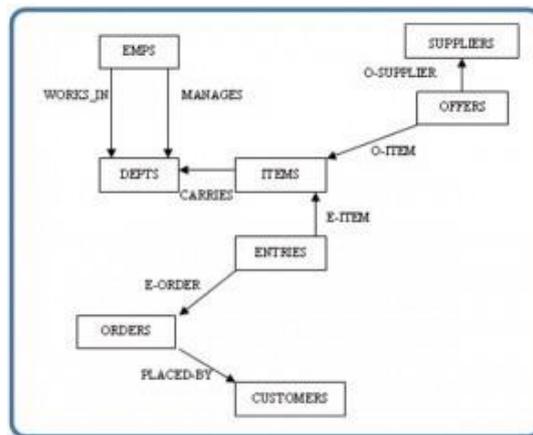


Figure 4.1. Network model diagram

- The *hierarchical model* represents data as a hierarchical tree structure. Each branch of the hierarchy represents a number of related records. Figure 4.2 shows this schema in hierarchical model notation.

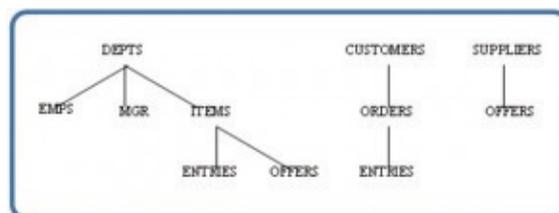


Figure 4.2. Hierarchical model diagram.

---

## 4.4 LET US SUM UP

---

In this chapter, we have studied the high level conceptual data and record –based logical data. Finally we ended the discussion data models summarize the models of record-based logical data type are relational data models, network data models and hierarchical data models.

---

## 4.5 GLOSSARY

---

**hierarchical model:** represents data as a hierarchical tree structure

**instance:** a record within a table

**network model:** represents data as record types

**relation:** another term for table

**relational model:** represents data as relations or tables

**set type:** a limited type of one to many relationship

---

## 4.6 CHECK YOUR PROGRESS

---

1	Which of the following is record based logical model?			
	A	Network Model	B	Object oriented model
	C	E-R Model	D	None of these
2	Manager salary's information are hidden from employee this is called as?			
	A	physical-based data hiding	B	conceptual based data hiding
	C	network-based data hiding	D	internal level data hiding
3	Association for several entities is called as?			
	A	relationship	B	object
	C	association	D	none of these
4	Data Model is collection of conceptual tools for describing -			
	A	Data	B	Data schema
	C	Consistency constraints	D	All of these

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. A -Network model
2. B- conceptual based data hiding
3. A- relationship
4. D- All of these

---

## 4.7 FURTHER READING

---

1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

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## 4.7 ASSIGNMENT

---

- 1) What is a data model?

- 2) What is a high-level conceptual data model?
- 3) What is an entity? An attribute? A relationship?
- 4) List and briefly describe the common record-based logical data models.

## **BLOCK – 2**

### **ENTITY-RELATIONSHIP MODEL**

# Unit 1: Relational Data Model

1

## Unit Structure

- 1.1. Learning Objectives
- 1.2. Fundamental Concepts In the Relational Data Model
- 1.3. Let Us Sum Up
- 1.4. Check Your Progress
- 1.5. Further Reading
- 1.6. Assignments

---

## 1.1 LEARNING OBJECTIVES

---

After studying this unit student should be able to:

- Concepts of relational Data model
  - Relation
  - Table
  - Column
  - Domain
  - Records
  - Degree

---

## 1.2 Fundamental Concepts in the Relational Data Model

---

The relational data model was introduced by C. F. Codd in 1970. Currently, it is the most widely used data model.

The relational model has provided the basis for:

- Research on the theory of data/relationship/constraint
- Numerous database design methodologies
- The standard database access language called *structured query language* (SQL)
- Almost all modern commercial database management systems

The relational data model describes the world as “a collection of inter-related relations (or tables).”

### Relation

A *relation*, also known as a *table* or *file*, is a subset of the Cartesian product of a list of domains characterized by a name. And within a table, each row represents a group of related data values. A *row*, or record, is also known as a *tuple*. The column in a

table is a field and is also referred to as an attribute. You can also think of it this way: an attribute is used to define the record and a record contains a set of attributes.

The steps below outline the logic between a relation and its domains.

1. Given  $n$  domains are denoted by  $D_1, D_2, \dots, D_n$
2. And  $r$  is a relation defined on these domains
3. Then  $r \subseteq D_1 \times D_2 \times \dots \times D_n$

## Table

A database is composed of multiple tables and each table holds the data. Figure shows a database that contains three tables.

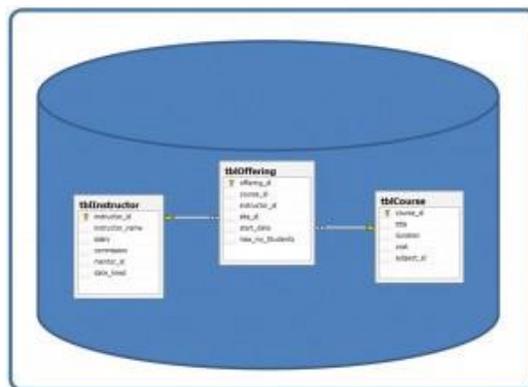


Figure 1.1. Database with three tables.

## Column

A database stores pieces of information or facts in an organized way. Understanding how to use and get the most out of databases requires us to understand that method of organization.

The principal storage units are called *columns* or *fields* or *attributes*. These house the basic components of data into which your content can be broken down. When deciding which fields to create, you need to think generically about your information, for example, drawing out the common components of the information that you will store in the database and avoiding the specifics that distinguish one item from another.

Look at the example of an ID card in Figure to see the relationship between fields and their data.

Field Name	Data
First Name	Isabelle
Family Name	Whelan
Nationality	British
Salary	109,900
Date of Birth	15 September 1983
Marital Status	Single
Shift	Mon, Wed
Place of issue	Addis Ababa
Valid until	17 December 2003

Figure.1.2 Example of an ID card by A. Watt.

**Domain**

A *domain* is the original sets of atomic values used to model data. By *atomic value*, we mean that each value in the domain is indivisible as far as the relational model is concerned. For example:

- The domain of Marital Status has a set of possibilities: Married, Single, Divorced.
- The domain of Shift has the set of all possible days: {Mon, Tue, Wed...}.
- The domain of Salary is the set of all floating-point numbers greater than 0 and less than 200,000.
- The domain of First Name is the set of character strings that represents names of people.

In summary, a domain is a set of acceptable values that a column is allowed to contain. This is based on various properties and the data type for the column. We will discuss data types in another chapter.

**Records**

Just as the content of any one document or item needs to be broken down into its constituent bits of data for storage in the fields, the link between them also needs to be available so that they can be reconstituted into their whole form. Records allow us to do this. *Records* contain fields that are related, such as a customer or an employee. As noted earlier, a tuple is another term used for record.

Records and fields form the basis of all databases. A simple table gives us the clearest picture of how records and fields work together in a database storage project.

Record ID	PubDate	Author	Title
1	26/07/1968	B. Pitt	Rights and Wrongs online
2	3/5/2000	A. Jolie	Networking for Change
3	27/02/1971	J. Carter	The Myth of Cyber Crimes
4	15/09/1983	J. Whetton	Connecting the disconnected

Figure 1.3. Example of a simple table by A. Watt.

The simple table example in Figure 7.3 shows us how fields can hold a range of different sorts of data. This one has:

- A Record ID field: this is an ordinal number; its data type is an integer.
- A PubDate field: this is displayed as day/month/year; its data type is date.
- An Author field: this is displayed as Initial. Surname; its data type is text.
- A Title field text: free text can be entered here.

You can command the database to sift through its data and organize it in a particular way. For example, you can request that a selection of records be limited by date: 1. all before a given date, 2. all after a given date or 3. All between two given dates. Similarly, you can choose to have records sorted by date. Because the field, or record, containing the data is set up as a Date field, the database reads the information in the Date field not just as numbers separated by slashes, but rather, as dates that must be ordered according to a calendar system.

### Degree

The *degree* is the number of attributes in a table. In our example in Figure 7.3, the degree is 4.

### Properties of a Table

- A table has a name that is distinct from all other tables in the database.
- There are no duplicate rows; each row is distinct.
- Entries in columns are atomic. The table does not contain repeating groups or multivalued attributes.
- Entries from columns are from the same domain based on their data type including:
  - number (numeric, integer, float, smallint,...)

- character (string)
- date
- logical (true or false)
- Operations combining different data types are disallowed.
- Each attribute has a distinct name.
- The sequence of columns is insignificant.
- The sequence of rows is insignificant.

## 1.4 LET US SUM UP

In this chapter, we have studied concepts of relational data model. In relational data model discuss the topic Relation, Table, Column, Domain, Records, Degree, etc.

## 1.4 CHECK YOUR PROGRESS

1	An _____ is a set of entities of the same type that share the same properties, or attributes.			
	A	Entity set	B	Attribute set
	C	Relation set	D	Entity model
2	The term attribute refers to a _____ of a table.			
	A	Record	B	Column
	C	Tuple	D	Key
3	For each attribute of a relation, there is a set of permitted values, called the _____ of that attribute.			
	A	Domain	B	Relation
	C	Set	D	Schema
4	A relational database consists of a collection of _____			
	A	Tables	B	Records
	C	Fields	D	Keys
5	A domain is atomic if elements of the domain are considered to be _____ units.			
	A	Different	B	Indivisible
	C	Constant	D	divisible

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

1. A -entity set
2. B- column

3. A- domain
4. A- tables
5. B-indivisible

---

## 1.5 GLOSSARY

---

**atomic value:** each value in the domain is indivisible as far as the relational model is concerned

**attribute:** principle storage unit in a database

**column:** *see attribute*

**degree:** number of attributes in a table

**domain:** the original sets of atomic values used to model data; a set of acceptable values that a column is allowed to contain

**field:** *see attribute*

**file:** *see relation*

**record:** contains fields that are related; *see tuple*

**relation:** a subset of the Cartesian product of a list of domains characterized by a name; the technical term for table or file

**row:** *see tuple*

**structured query language (SQL):** the standard database access language

**table:** *see relation*

**tuple:** a technical term for row or record

---

## 1.5 FURTHER READING

---

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## 1.6 ASSIGNMENT

---

- 1) Using correct terminology, identify and describe all the components in Table 1.

**EMPLOYEE**

EMPID	EMPLNAME	EMPINIT	EMPFNAME	EMPJOBCODE
123455	Friedman	A.	Robert	12
123456	<u>Olanski</u>	D.	Delbert	18
123457	<u>Fontein</u>	G.	Juliette	15
123458	<u>Cruazona</u>	X.	Maria	18

Table 1.

- 2) What is the possible domain for field EmpJobCode?  
3) How many records are shown?  
4) How many attributes are shown?  
5) List the properties of a table.

## Unit 2: E-R Model

2

### Unit Structure

- 2.1. Learning Objectives
- 2.2. Introduction E-R Model
- 2.3. Entity ,Entity set and Entity Type
- 2.4. Attributes
- 2.5. Types of Keys
- 2.6. Relationships
- 2.7. Let Us Sum Up
- 2.8. Glossary
- 2.9. Check Your Progress
- 2.10. Further Reading
- 2.11. Assignments

---

## 2.1 LEARNING OBJECTIVES

---

After studying this unit student should be able to:

- ER model
- Entity, Entity set and Entity Type
- Attributes
- Types of keys
- Relationships

---

## 2.2 INTRODUCTION E-R MODEL

---

The ***Entity relationship (ER) data model*** has existed for over 35 years. It is well suited to data modelling for use with databases because it is fairly abstract and is easy to discuss and explain. ER models are readily translated to relations. ER models, also called an ER schema, are represented by ER diagrams.

ER modelling is based on two concepts:

- Entities, defined as tables that hold specific information (data)
- *Relationships*, defined as the associations or interactions between entities

Here is an example of how these two concepts might be combined in an ER data model: Prof. Ba (entity) teaches (relationship) the Database Systems course (entity).

For the rest of this chapter, we will use a sample database called the COMPANY database to illustrate the concepts of the ER model. This database contains information about employees, departments and projects. Important points to note include:

- There are several departments in the company. Each department has a unique identification, a name, location of the office and a particular employee who manages the department.
- A department controls a number of projects, each of which has a unique name, a unique number and a budget.
- Each employee has a name, identification number, address, salary and birthdate. An employee is assigned to one department but can join in several projects. We need to record the start date of the employee in each project. We also need to know the direct supervisor of each employee.
- We want to keep track of the dependents for each employee. Each dependent has a name, birthdate and relationship with the employee.

---

## 2.3 Entity, Entity Set and Entity Type

---

An *entity* is an object in the real world with an independent existence that can be differentiated from other objects. An entity might be

- An object with physical existence (e.g., a lecturer, a student, a car)
- An object with conceptual existence (e.g., a course, a job, a position)

Entities can be classified based on their strength. An entity is considered weak if its tables are existence dependent.

- That is, it cannot exist without a relationship with another entity
- Its primary key is derived from the primary key of the parent entity
  - The Spouse table, in the COMPANY database, is a weak entity because its primary key is dependent on the Employee table. Without a corresponding employee record, the spouse record would not exist.

An entity is considered strong if it can exist apart from all of its related entities.

- Kernels are strong entities.

- A table without a foreign key or a table that contains a foreign key that can contain nulls is a strong entity

Another term to know is *entity type* which defines a collection of similar entities.

An *entity set* is a collection of entities of an entity type at a particular point of time. In an entity relationship diagram(ERD), an entity type is represented by a name in a box. For example, in Figure 2.1, the entity type is EMPLOYEE.

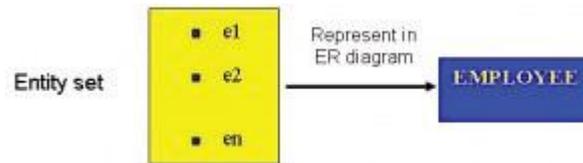


Figure2.1. ERD with entity type EMPLOYEE.

### Existence dependency

An entity's existence is dependent on the existence of the related entity. It is existence-dependent if it has a mandatory foreign key (i.e., a foreign key attribute that cannot be null). For example, in the COMPANY database, a Spouse entity is existence -dependent on the Employee entity.

### Kinds of Entities

You should also be familiar with different kinds of entities including independent entities, dependent entities and characteristic entities. These are described below.

### Independent entities

*Independent entities*, also referred to as kernels, are the backbone of the database. They are what other tables are based on. *Kernels* have the following characteristics:

- They are the building blocks of a database.
- The primary key may be simple or composite.
- The primary key is not a foreign key.
- They do not depend on another entity for their existence.

If we refer back to our COMPANY database, examples of an independent entity include the Customer table, Employee table or Product table.

## Dependent entities

*Dependent entities*, also referred to as *derived entities*, depend on other tables for their meaning. These entities have the following characteristics:

- Dependent entities are used to connect two kernels together.
- They are said to be existence dependent on two or more tables.
- Many to many relationships become associative tables with at least two foreign keys.
- They may contain other attributes.
- The foreign key identifies each associated table.
- There are three options for the primary key:
  - Use a composite of foreign keys of associated tables if unique
  - Use a composite of foreign keys and a qualifying column
  - Create a new simple primary key

## Characteristic entities

*Characteristic entities* provide more information about another table. These entities have the following characteristics:

- They represent multivalued attributes.
- They describe other entities.
- They typically have a one to many relationship.
- The foreign key is used to further identify the characterized table.
- Options for primary key are as follows:
  - Use a composite of foreign key plus a qualifying column
  - Create a new simple primary key. In the COMPANY database, these might include:
    - Employee (EID, Name, Address, Age, Salary) – EID is the simple primary key.
    - EmployeePhone (EID, Phone) – EID is part of a composite primary key. Here, EID is also a foreign key.

---

## 2.4 ATTRIBUTES

---

Each entity is described by a set of attributes (e.g., Employee = (Name, Address, Birthdate (Age), Salary)).

Each attribute has a name, and is associated with an entity and a domain of legal values. However, the information about attribute domain is not presented on the ERD. In the entity relationship diagram, shown in Figure 2.2, each attribute is represented by an oval with a name inside.

### Types of Attributes

There are a few types of attributes you need to be familiar with. Some of these are to be left as is, but some need to be adjusted to facilitate representation in the relational model. This first section will discuss the types of attributes. Later on we will discuss fixing the attributes to fit correctly into the relational model.

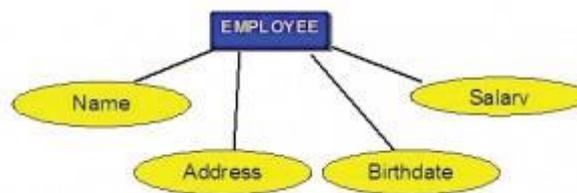


Figure 2.2. How attributes are represented in an ERD.

### Simple attributes

*Simple attributes* are those drawn from the atomic value domains; they are also called *single-valued attributes*. In the COMPANY database, an example of this would be: Name = {John} ; Age = {23}

### Composite attributes

*Composite attributes* are those that consist of a hierarchy of attributes. Using our database example, and shown in Figure 8.3, Address may consist of Number, Street and Suburb. So this would be written as  $\rightarrow$  Address = {59 + 'Meek Street' + 'Kingsford'}

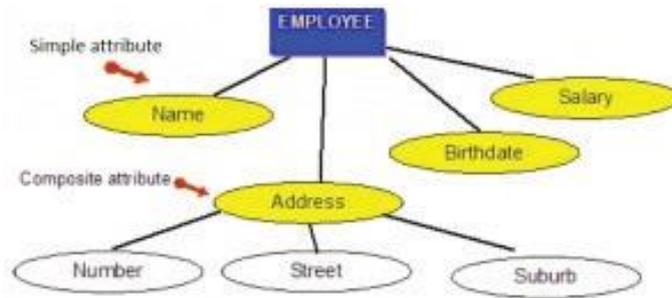


Figure 2.3. An example of composite attributes.

### Multivalued attributes

*Multivalued attributes* are attributes that have a set of values for each entity. An example of a multivalued attribute from the COMPANY database, as seen in Figure 2.4, are the degrees of an employee: BSc, MIT, PhD.

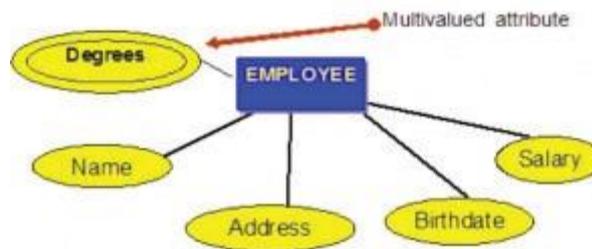


Figure 2.4. Example of a multivalued attribute.

### Derived attributes

*Derived attributes* are attributes that contain values calculated from other attributes. An example of this can be seen in Figure 2.5. Age can be derived from the attribute Birthdate. In this situation, Birthdate is called a *stored attribute*, which is physically saved to the database.

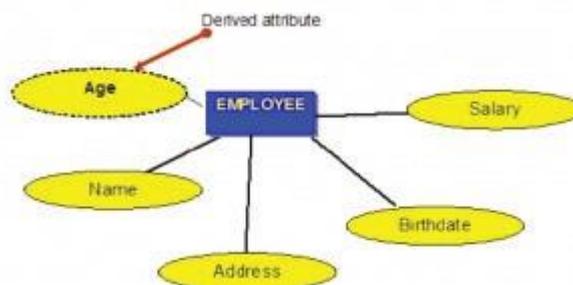


Figure 2.5. Example of a derived attribute.4

---

## 2.5 TYPES OF KEYS

---

### Keys

An important constraint on an entity is the key. The *key* is an attribute or a group of attributes whose values can be used to uniquely identify an individual entity in an entity set.

### Types of Keys

There are several types of keys. These are described below.

#### Candidate key

A *candidate key* is a simple or composite key that is unique and minimal. It is unique because no two rows in a table may have the same value at any time. It is minimal because every column is necessary in order to attain uniqueness.

From our COMPANY database example, if the entity is **Employee**(EID, First Name, Last Name, SIN, Address, Phone, BirthDate, Salary, DepartmentID), possible candidate keys are:

- EID, SIN
- First Name and Last Name – assuming there is no one else in the company with the same name
- Last Name and DepartmentID – assuming two people with the same last name don't work in the same department

#### Composite key

A *composite key* is composed of two or more attributes, but it must be minimal.

Using the example from the candidate key section, possible composite keys are:

- First Name and Last Name – assuming there is no one else in the company with the same name

- Last Name and Department ID – assuming two people with the same last name don't work in the same department

### **Primary key**

The primary key is a candidate key that is selected by the database designer to be used as an identifying mechanism for the whole entity set. It must uniquely identify tuples in a table and not be null. The primary key is indicated in the ER model by underlining the attribute.

- A candidate key is selected by the designer to uniquely identify tuples in a table. It must not be null.
- A key is chosen by the database designer to be used as an identifying mechanism for the whole entity set. This is referred to as the primary key. This key is indicated by underlining the attribute in the ER model.

In the following example, EID is the primary key:

**Employee**(EID, First Name, Last Name, SIN, Address, Phone, BirthDate, Salary, DepartmentID)

### **Secondary key**

A *secondary key* is an attribute used strictly for retrieval purposes (can be composite), for example: Phone and LastName.

### **Alternate key**

*Alternate keys* are all candidate keys not chosen as the primary key.

### **Foreign key**

A *foreign key (FK)* is an attribute in a table that references the primary key in another table OR it can be null. Both foreign and primary keys must be of the same data type.

In the COMPANY database example below, DepartmentID is the foreign key:

**Employee**(EID, First Name, Last Name, SIN, Address, Phone, BirthDate, Salary, DepartmentID)

## Nulls

A *null* is a special symbol, independent of data type, which means either unknown or inapplicable. It does not mean zero or blank. Features of null include:

- No data entry
- Not permitted in the primary key
- Should be avoided in other attributes
- Can represent
  - An unknown attribute value
  - A known, but missing, attribute value
  - A “not applicable” condition
- Can create problems when functions such as COUNT, AVERAGE and SUM are used
- Can create logical problems when relational tables are linked

**NOTE:** The result of a comparison operation is null when either argument is null. The result of an arithmetic operation is null when either argument is null (except functions that ignore nulls).

### Example of how null can be used

Use the Salary table (Salary\_tbl) in Figure 2.6 to follow an example of how null can be used.

**Salary\_tbl**

emp#	jobName	salary	commission
E10	Sales	12500	32090
E11	Null	25000	8000
E12	Sales	44000	0
E13	Sales	44000	Null

Figure 2.6. Salary table for null example, by A. Watt.

To begin, find all employees (emp#) in Sales (under the jobName column) whose salary plus commission are greater than 30,000.

- `SELECT emp# FROM Salary_tbl`

- WHERE jobName = Sales AND
- (commission + salary) > 30,000 -> E10 and E12

This result does not include E13 because of the null value in the commission column. To ensure that the row with the null value is included, we need to look at the individual fields. By adding commission and salary for employee E13, the result will be a null value. The solution is shown below.

- SELECT emp# FROM Salary\_tbl
- WHERE jobName = Sales AND
- (commission > 30000 OR
- salary > 30000 OR
- (commission + salary) > 30,000 -> E10 and E12 and E13

---

## 2.6 RELATIONSHIPS

---

*Relationships* are the glue that holds the tables together. They are used to connect related information between tables.

*Relationship strength* is based on how the primary key of a related entity is defined. A weak, or non-identifying, relationship exists if the primary key of the related entity does not contain a primary key component of the parent entity. Company database examples include:

- Customer(**CustID**, CustName)
- Order(**OrderID**, CustID, Date)

A strong, or identifying, relationship exists when the primary key of the related entity contains the primary key component of the parent entity. Examples include:

- Course(**CrsCode**, DeptCode, Description)
- Class(**CrsCode**, **Section**, ClassTime...)

### Types of Relationships

Below are descriptions of the various types of relationships.

## One to many (1:M) relationship

A one to many (1:M) relationship should be the norm in any relational database design and is found in all relational database environments. For example, one department has many employees. Figure 2.7 shows the relationship of one of these employees to the department.

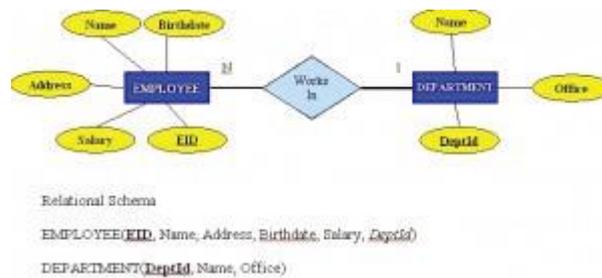


Figure 2.7. Example of a one to many relationship.

## One to one (1:1) relationship

A one to one (1:1) relationship is the relationship of one entity to only one other entity, and vice versa. It should be rare in any relational database design. In fact, it could indicate that two entities actually belong in the same table.

An example from the COMPANY database is one employee is associated with one spouse, and one spouse is associated with one employee.

## Many to many (M:N) relationships

For a many to many relationship, consider the following points:

- It cannot be implemented as such in the relational model.
- It can be changed into two 1:M relationships.
- It can be implemented by breaking up to produce a set of 1:M relationships.
- It involves the implementation of a composite entity.
- Creates two or more 1:M relationships.
- The composite entity table must contain at least the primary keys of the original tables.
- The linking table contains multiple occurrences of the foreign key values.
- Additional attributes may be assigned as needed.

- It can avoid problems inherent in an M:N relationship by creating a composite entity or bridge entity. For
- example, an employee can work on many projects OR a project can have many employees working on it, depending on the business rules. Or, a student can have many classes and a class can hold many students.

Figure 2.8 shows another another aspect of the M:N relationship where an employee has different start dates for different projects. Therefore, we need a JOIN table that contains the EID, Code and StartDate.

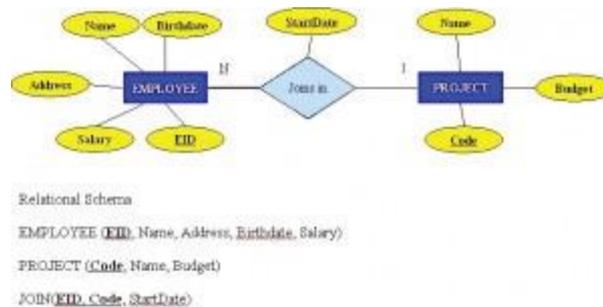


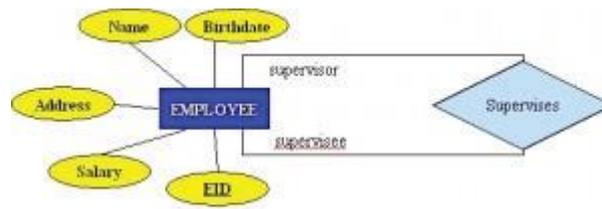
Figure 2.8. Example where employee has different start dates for different projects.

### Example of mapping an M:N binary relationship type

- For each M:N binary relationship, identify two relations.
- A and B represent two entity types participating in R.
- Create a new relation S to represent R.
- S needs to contain the PKs of A and B. These together can be the PK in the S table OR these together
- with another simple attribute in the new table R can be the PK.
- The combination of the primary keys (A and B) will make the primary key of S.

### Unary relationship (recursive)

A *unary relationship*, also called *recursive*, is one in which a relationship exists between occurrences of the same entity set. In this relationship, the primary and foreign keys are the same, but they represent two entities with different roles. See Figure 2.9 for an example.



Relational Schema

EMPLOYEE (EID, Name, Address, Birthdate, Salary, Super-EID)

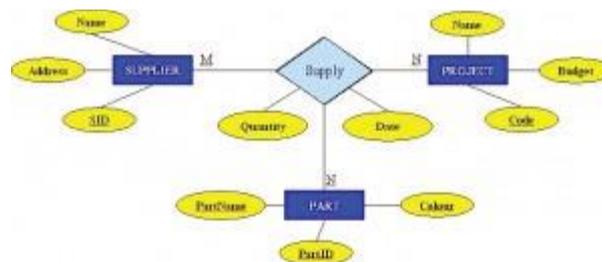
Figure 2.9. Example of a unary relationship.

For some entities in a unary relationship, a separate column can be created that refers to the primary key of the same entity set.

### Ternary Relationships

A *ternary relationship* is a relationship type that involves many to many relationships between three tables.

Refer to Figure 2.10 for an example of mapping a ternary relationship type. Note *n-ary* means multiple tables in a relationship. (Remember, N = many.)



Relational Schema

SUPPLIER (SID, Name, Address)  
 PROJECT (Code, Name, Budget)  
 PART (PartID, PartName, Colour)  
 Supply (SID, Code, PartID, Quantity, Date)

Figure 2.10. Example of a ternary relationship

- For each n-ary (> 2) relationship, create a new relation to represent the relationship.
- The primary key of the new relation is a combination of the primary keys of the participating entities that hold the N (many) side.
- In most cases of an n-ary relationship, all the participating entities hold a **many** side.

---

## 2.7 LET US SUM UP

---

In this chapter, we have studied what is E-R model. We also studied about how to work E-R model in Entity, Entityset and Entity type. We also studied the types of attributes, Types of keys. Finally, we ended the discussion with the types of relationships: one to one relationship, many to many relationships, unary relationship and ternary relationship.

---

## 2.8 GLOSSARY

---

**alternate key:** all candidate keys not chosen as the primary key

**candidate key:** a simple or composite key that is unique (no two rows in a table may have the same value) and minimal (every column is necessary)

**characteristic entities:** entities that provide more information about another table

**composite attributes:** attributes that consist of a hierarchy of attributes

**composite key:** composed of two or more attributes, but it must be minimal

**dependent entities:** these entities depend on other tables for their meaning

**derived attributes:** attributes that contain values calculated from other attributes

**derived entities:** see *dependent entities*

**EID:** employee identification (ID)

**entity:** a thing or object in the real world with an independent existence that can be differentiated from other objects

**entity relationship (ER) data model:** also called an ER schema, are represented by ER diagrams. These are well suited to data modelling for use with databases.

**entity relationship schema:** see *entity relationship data model*

**entity set:** a collection of entities of an entity type at a point of time

**entity type:** a collection of similar entities

**foreign key (FK):** an attribute in a table that references the primary key in another table OR it can be null

**independent entity:** as the building blocks of a database, these entities are what other tables are based on

**kernel:** see *independent entity*

**key:** an attribute or group of attributes whose values can be used to uniquely identify an individual entity in an entity set

**multivalued attributes:** attributes that have a set of values for each entity

**n-ary:** multiple tables in a relationship

**null:** a special symbol, independent of data type, which means either unknown or inapplicable; it does not mean zero or blank

**recursive relationship:** see *unary relationship*

**relationships:** the associations or interactions between entities; used to connect related information between tables

**relationship strength:** based on how the primary key of a related entity is defined

**secondary key** an attribute used strictly for retrieval purposes

**simple attributes:** drawn from the atomic value domains

**SIN:** social insurance number

**single-valued attributes:** see *simple attributes*

**stored attribute:** saved physically to the database

---

## 2.8 CHECK YOUR PROGRESS

1	The entity relationship set is represented in E-R diagram as			
	A	Double diamonds	B	Undivided rectangles
	C	Dashed lines	D	Diamond
2	The Rectangles divided into two parts represents			
	A	Entity set	B	Relationship set
	C	Attributes of a relationship set	D	Primary key
3	Consider a directed line(->) from the relationship set advisor to both entity sets instructor and student. This indicates _____ cardinality			
	A	One to many	B	One to one
	C	Many to many	D	Many to one
4	An entity set that does not have sufficient attributes to form a primary key is termed a _____			
	A	Strong entity set	B	Variant set
	C	Weak entity set	D	Variable set
5	The attribute <i>name</i> could be structured as an attribute consisting of first name, middle initial, and last name. This type of attribute is called			
	A	Simple attribute	B	Composite attribute
	C	Multivalued attribute	D	Derived attribute

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. B-Undivided rectangles
2. A- entity set

3. B-one to one
4. C- weak entity set
5. B-composite attribute

---

## 2.9 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

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## 2.10 ASSIGNMENT

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1. What two concepts are ER modelling based on?
2. The database in Figure 8.11 is composed of two tables. Use this figure to answer questions 2.1 to 2.5.

### DIRECTOR

DIRNUM	DIRNAME	DIRDOB
100	<u>J.Broadway</u>	01/08/39
101	<u>J.Namath</u>	11/12/48
102	<u>W.Blake</u>	06/15/44

### PLAY

PLAYNO	PLAYNAME	DIRNUM
1001	Cat on a cold bare roof	102
1002	Hold the mayo, pass the bread	101
1003	I never promised you coffee	102
1004	Silly putty goes to Texas	100
1005	See no sound, hear no sight	101
1006	<u>Starstruck in Biloxi</u>	102
1007	Stranger in parrot ice	101

Figure 2.1. Director and Play tables for question 2, by A. Watt.

- a. Identify the primary key for each table.
  - b. Identify the foreign key in the PLAY table.
  - c. Identify the candidate keys in both tables.
  - d. Draw the ER model.
  - e. Does the PLAY table exhibit referential integrity? Why or why not?
3. Define the following terms (you may need to use the Internet for some of these):
- a. schema
  - b. host language
  - c. data sublanguage
  - d. data definition language
  - e. unary related

## Unit 3: Integrity Rules and Constraints

# 3

### Unit Structure

- 3.1. Learning Objectives
- 3.2. Types of integrity
- 3.3. Cardinality and connectivity
- 3.4. Relationship types
- 3.5. Let us sum up
- 3.6. Glossary
- 3.7. Check Your Progress
- 3.8. Further Reading

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## 3.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Constraints
- Types of Integrity
- Foreign key rules
- Relationship types

Constraints are a very important feature in a relational model. In fact, the relational model supports the well-defined theory of constraints on attributes or tables. Constraints are useful because they allow a designer to specify the semantics of data in the database. *Constraints* are the rules that force DBMSs to check that data satisfies these semantics.

---

## 3.2 TYPES OF INTEGRITY

---

### Domain Integrity

Domain restricts the values of attributes in the relation and is a constraint of the relational model. However, there are real-world semantics for data that cannot be specified if used only with domain constraints. We need more specific ways to state what data values are or are not allowed and which format is suitable for an attribute. For example, the Employee ID (EID) must be unique or the employee Birthdate is in the range [Jan 1, 1950, Jan 1, 2000]. Such information is provided in logical statements called *integrity constraints*.

There are several kinds of integrity constraints, described below.

### **Entity integrity**

To ensure *entity integrity*, it is required that every table have a primary key. Neither the PK nor any part of it can contain null values. This is because null values for the primary key mean we cannot identify some rows. For example, in the EMPLOYEE table, Phone cannot be a primary key since some people may not have a telephone.

### **Referential integrity**

*Referential integrity* requires that a foreign key must have a matching primary key or it must be null. This constraint is specified between two tables (parent and child); it maintains the correspondence between rows in these tables. It means the reference from a row in one table to another table must be valid.

Examples of referential integrity constraint in the Customer/Order database of the Company:

- Customer(**CustID**, CustName)
- Order(**OrderID**, CustID, OrderDate)

To ensure that there are no orphan records, we need to enforce referential integrity. An *orphan record* is one whose foreign key FK value is not found in the corresponding entity - the entity where the PK is located. Recall that a typical join is between a PK and FK.

The referential integrity constraint states that the customer ID (CustID) in the Order table must match a valid CustID in the Customer table. Most relational databases

have declarative referential integrity. In other words, when the tables are created the referential integrity constraints are set up.

Here is another example from a Course/Class database:

- Course(**CrsCode**, DeptCode, Description)
- Class(**CrsCode**, **Section**, ClassTime)

The referential integrity constraint states that CrsCode in the Class table must match a valid CrsCode in the Course table. In this situation, it's not enough that the CrsCode and Section in the Class table make up the PK, we must also enforce referential integrity.

When setting up referential integrity it is important that the PK and FK have the same data types and come from the same domain, otherwise the relational database management system (RDBMS) will not allow the join. RDBMS is a popular database system that is based on the relational model introduced by E. F. Codd of IBM's San Jose Research Laboratory. Relational database systems are easier to use and understand than other database systems.

### Referential integrity in Microsoft Access

In Microsoft (MS) Access, referential integrity is set up by joining the PK in the Customer table to the CustID in the Order table. See Figure 9.1 for a view of how this is done on the Edit Relationships screen in MS Access.



Figure 3.1. Referential access in MS Access, by A. Watt.

## Referential integrity using Transact-SQL (MS SQL Server)

When using Transact-SQL, the referential integrity is set when creating the Order table with the FK. Listed below are the statements showing the FK in the Order table referencing the PK in the Customer table.

```
CREATE TABLE Customer  
( CustID INTEGER PRIMARY KEY, CustName CHAR(35) )
```

```
CREATE TABLE Orders  
( OrderID INTEGER PRIMARY KEY,
```

```
CustID INTEGER REFERENCES Customer(CustID),  
OrderDate DATETIME )
```

Additional foreign key rules may be added when setting referential integrity, such as what to do with the child rows (in the Orders table) when the record with the PK, part of the parent (Customer), is deleted or changed (updated). For example, the Edit Relationships window in MS Access (see Figure 9.1) shows two additional options for FK rules: Cascade Update and Cascade Delete. If these are not selected, the system will prevent the deletion or update of PK values in the parent table (Customer table) if a child record exists. The child record is any record with a matching PK.

In some databases, an additional option exists when selecting the Delete option called Set to Null. In this is chosen, the PK row is deleted, but the FK in the child table is set to NULL. Though this creates an orphan row, it is acceptable.

### Enterprise Constraints

Enterprise constraints – sometimes referred to as semantic constraints – are additional rules specified by users or database administrators and can be based on multiple tables. Here are some examples.

- A class can have a maximum of 30 students.
- A teacher can teach a maximum of four classes per semester.
- An employee cannot take part in more than five projects.
- The salary of an employee cannot exceed the salary of the employee's manager.

## Business Rules

*Business rules* are obtained from users when gathering requirements. The requirements-gathering process is very important, and its results should be verified by the user before the database design is built. If the business rules are incorrect, the design will be incorrect, and ultimately the application built will not function as expected by the users. Some examples of business rules are:

- A teacher can teach many students.
- A class can have a maximum of 35 students.
- A course can be taught many times, but by only one instructor.
- Not all teachers teach classes.

---

## 3.3 Cardinality and Connectivity

---

### Cardinality and connectivity

Business rules are used to determine cardinality and connectivity. *Cardinality* describes the relationship between two data tables by expressing the minimum and maximum number of entity occurrences associated with one occurrence of a related entity. In Figure 9.2, you can see that cardinality is represented by the innermost markings on the relationship symbol. In this figure, the cardinality is 0 (zero) on the right and 1 (one) on the left.

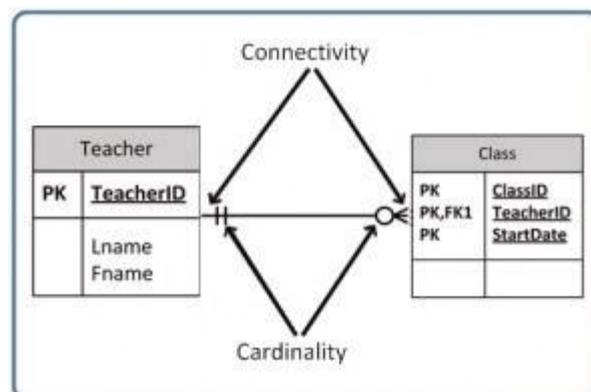


Figure 3.2. Position of connectivity and cardinality on a relationship symbol, by A. Watt.

The outermost symbol of the relationship symbol, on the other hand, represents the connectivity between the two tables. *Connectivity* is the relationship between two

tables, e.g., one to one or one to many. The only time it is zero is when the FK can be null. When it comes to participation, there are three options to the relationship between these entities: either 0 (zero), 1 (one) or many. In Figure 9.2, for example, the connectivity is 1 (one) on the outer, left-hand side of this line and many on the outer, right-hand side.

Figure 3.3. shows the symbol that represents a one to many relationship.



In Figure 3.4, both inner (representing cardinality) and outer (representing connectivity) markers are shown. The left side of this symbol is read as minimum 1 and maximum 1. On the right side, it is read as: minimum 1 and maximum many.



---

## 3.4 Relationship Types

---

### Relationship Types

The line that connects two tables, in an ERD, indicates the *relationship type* between the tables: either identifying or non-identifying. An *identifying relationship* will have a solid line (where the PK contains the FK). A *non-identifying relationship* is indicated by a broken line and does not contain the FK in the PK. See the section in Chapter 8 that discusses weak and strong relationships for more explanation.

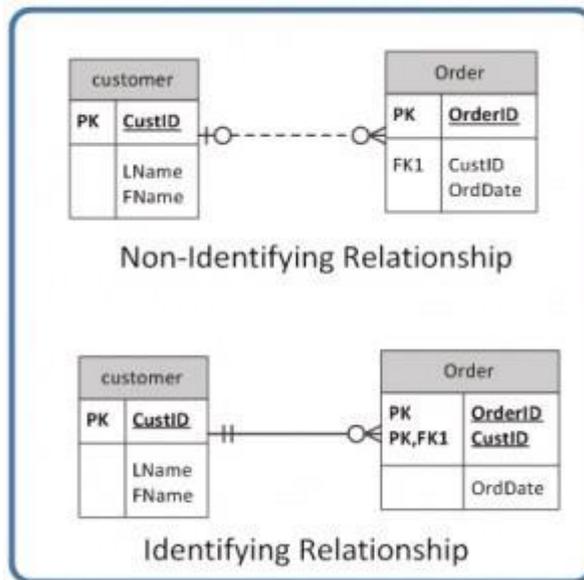


Figure 3.5. Identifying and non-identifying relationship, by A. Watt.

### Optional relationships

In an *optional relationship*, the FK can be null or the parent table does not need to have a corresponding child table occurrence. The symbol, shown in Figure 3.6, illustrates one type with a zero and three prongs (indicating many) which is interpreted as zero OR many.

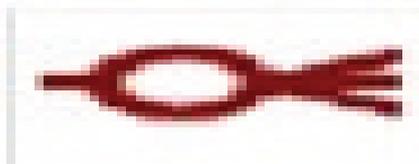


Figure 3.6.

For example, if you look at the Order table on the right-hand side of Figure 3.7, you'll notice that a customer doesn't need to place an order to be a customer. In other words, the **many side** is optional.

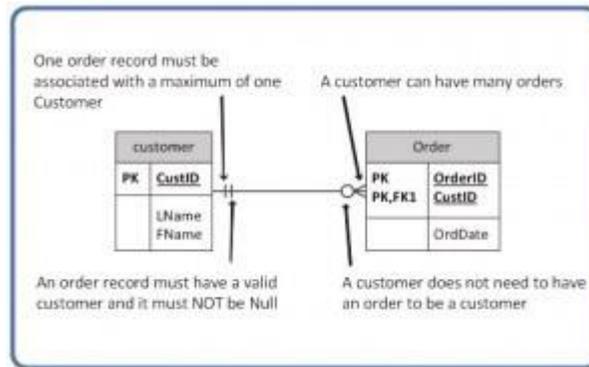


Figure 3.7. Example usage of a zero to many optional relationship symbol,

The relationship symbol in Figure 3.7 can also be read as follows:

- Left side: The order entity must contain a minimum of one related entity in the Customer table and a maximum of one related entity.
- Right side: A customer can place a minimum of zero orders or a maximum of many orders.

Figure 3.8 shows another type of optional relationship symbol with a zero and one, meaning zero OR one. The **one** side is optional.

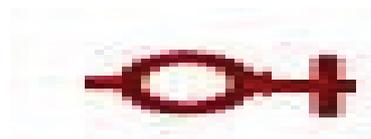


Figure 3.8.

Figure 3.9 gives an example of how a zero to one symbol might be used

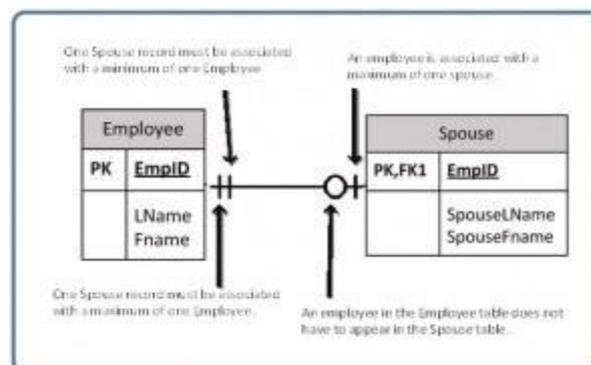


Figure 3.9. Example usage of a zero to one optional relationship symbol,

## Mandatory relationships

In a *mandatory relationship*, one entity occurrence requires a corresponding entity occurrence. The symbol for this relationship shows *one and only one* as shown in Figure 3.10. The one side is mandatory.

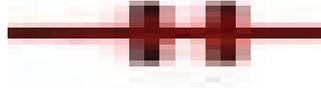


Figure:3.10

See Figure 3.11 for an example of how the one and only one mandatory symbol is used.

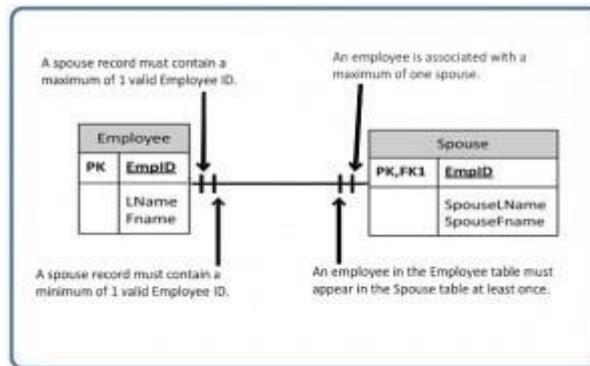


Figure 3.11 Example usage of one and only one mandatory symbol

Figure 3.12 illustrates what a one to many relationship symbol looks like where the **many side** is mandatory.



Figure3.12.

Refer to Figure 3.13 for an example of how the one to many symbol may be used.

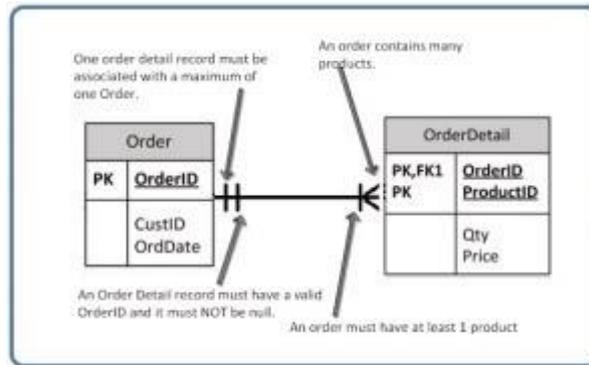


Figure 3.13. Example of a one to many mandatory relationship symbol, by

So far we have seen that the innermost side of a relationship symbol (on the left-side of the symbol in Figure 3.14) can have a 0 (zero) cardinality and a connectivity of many (shown on the right-side of the symbol in Figure 3.14), or one (not shown).



Figure:3.14

However, it cannot have a connectivity of 0 (zero), as displayed in Figure 3.15. The connectivity can only be 1.

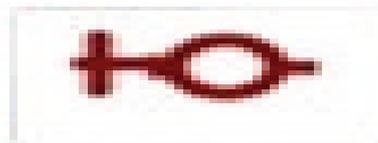


Figure:3.15

The connectivity symbols show maximums. So if you think about it logically, if the connectivity symbol on the left side shows 0 (zero), then there would be no connection between the tables.

The way to read a relationship symbol, such as the one in Figure 3.16, is as follows.

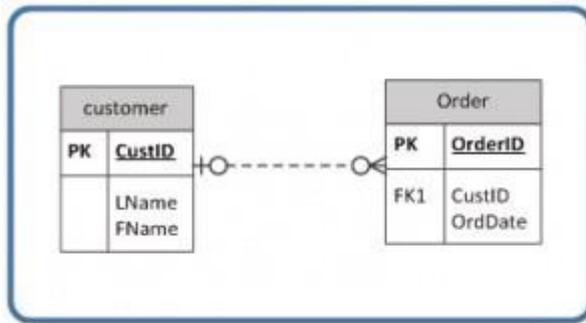


Figure 3.16. The relationship between a Customer table and an Order

- The CustID in the Order table must also be found in the Customer table a minimum of 0 and a maximum of 1 times.
- The 0 means that the CustID in the Order table may be null.
- The left-most 1 (right before the 0 representing connectivity) says that if there is a CustID in the Order table, it can only be in the Customer table once.
- When you see the 0 symbol for cardinality, you can assume two things:
  - the FK in the Order table allows nulls, and
  - the FK is not part of the PK since PKs must not contain null values.

---

## 3.5 LET US SUM UP

---

In this chapter, we have studied what is integrity and types of integrity. We also studied cardinality and connectivity. Finally, we ended the discussion with the relation types.

---

## 3.6 GLOSSARY

---

**business rules:** obtained from users when gathering requirements and are used to determine cardinality

**cardinality:** expresses the minimum and maximum number of entity occurrences associated with one occurrence of a related entity

**connectivity:** the relationship between two tables, e.g., one to one or one to many

**constraints:** the rules that force DBMSs to check that data satisfies the semantics

**entity integrity:** requires that every table have a primary key; neither the primary key, nor any part of it, can contain null values

**identifying relationship:** where the primary key contains the foreign key; indicated in an ERD by a solidline

**integrity constraints:** logical statements that state what data values are or are not allowed and which format is suitable for an attribute

**mandatory relationship:** one entity occurrence requires a corresponding entity occurrence.

**non-identifying relationship:** does not contain the foreign key in the primary key; indicated in an ERD by a dotted line

**optional relationship:** the FK can be null or the parent table does not need to have a corresponding child table occurrence

**orphan record:** a record whose foreign key value is not found in the corresponding entity – the entity where the primary key is located

**referential integrity:** requires that a foreign key must have a matching primary key or it must be null

**relational database management system (RDBMS):** a popular database system based on the relational model introduced by E. F. Codd of IBM's San Jose Research Laboratory

**relationship type:** the type of relationship between two tables in an ERD (either identifying or non-identifying); this relationship is indicated by a line drawn between the two tables.

---

### 3.7 CHECK YOUR PROGRESS

---

1	Which of the following is not an integrity constraint?			
	A	Not null	B	Positive
	C	Unique	D	Check 'predicate'
2	Data integrity constraints are used to:			
	A	Control who is allowed access to the data	B	Ensure that duplicate records are not entered into the table
	C	Improve the quality of data entered for a specific property (i.e., table column)	D	Prevent users from changing the values stored in the table
3	Which of the following can be addressed by enforcing a referential integrity constraint?			
	A	All phone numbers must include the area code	B	Certain fields are required (such as the email address, or phone

				number) before the record is accepted	
	C	Information on the customer must be known before anything can be sold to that customer	D	When entering an order quantity, the user must input a number and not some text (i.e., 12 rather than 'a dozen')	
4	To include integrity constraint in an existing relation use :				
	A	Create table	B	Alter table	
	C	Modify table	D	Drop table	
5	<b>CREATETABLE</b> Manager(ID <b>NUMERIC</b> ,Name <b>VARCHAR(20)</b> ,budget <b>NUMERIC</b> ,Details <b>VARCHAR(30)</b> ); Inorder to ensure that the value of budget is non-negative which of the following should be used?				
	A	Check(budget>0)	B	Alter(budget>0)	
	C	Check(budget<0)	D	Alter(budget<0)	

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. B-Positive
2. C-Improve the quality of data entered for a specific property (i.e., table column)
3. C- Information on the customer must be known before anything can be sold to that customer
4. B- Alter table
5. A - Check(budget>0)

---

## 3.8 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

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<https://www.sanfoundry.com/database-mcq>

# Unit 4: Relational Design and redundancy

## 4

### Unit Structure

- 4.1. Learning Objectives
- 4.2. Introduction to Relational Design and Redundancy
- 4.3. Insertion Anomaly
- 4.4. Update Anomaly
- 4.5. Deletion Anomaly
- 4.6. Let us sum up
- 4.7. Glossary
- 4.8. Check Your Progress
- 4.9. Further Reading

---

## 4.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Relational design and redundancy
- Insertion anomaly
- Update anomaly
- Delete anomaly

One important theory developed for the entity relational (ER) model involves the notion of functional dependency(FD). The aim of studying this is to improve your understanding of relationships among data and to gain enough formalism to assist with practical database design.

Like constraints, FDs are drawn from the semantics of the application domain. Essentially, *functional dependencies* describe how individual attributes are related. FDs are a kind of constraint among attributes within a relation and contribute to a good relational schema design. In this chapter, we will look at:

- The basic theory and definition of functional dependency
- The methodology for improving schema designs, also called normalization

---

## 4.2 Introduction to Relational Design And Redundancy

---

### Relational Design and Redundancy

Generally, a good relational database design must capture all of the necessary attributes and associations. The design should do this with a minimal amount of stored information and no redundant data.

In database design, redundancy is generally undesirable because it causes problems maintaining consistency after updates. However, redundancy can sometimes lead to performance improvements; for example, when redundancy can be used in place of a *join* to connect data. A *join* is used when you need to obtain information based on two related tables.

Consider Figure 4.1: customer 1313131 is displayed twice, once for account no. A-101 and again for accountA-102. In this case, the customer number is not redundant, although there are deletion anomalies with the table.

Having a separate customer table would solve this problem. However, if a branch address were to change, it would have to be updated in multiple places. If the customer number was left in the table as is, then you wouldn't need a branch table and no join would be required, and performance is improved .

accountNo	balance	customer	branch	address	assets
A-101	500	1313131	Downtown	Brooklyn	9000000
A-102	400	1313131	Perryridge	Horseneck	1700000
A-113	600	9876543	Round Hill	Horseneck	8000000
A-201	900	9876543	Brighton	Brooklyn	7100000
A-215	700	1111111	Mianus	Horseneck	400000
A-222	700	1111111	Redwood	Palo Alto	2100000
A-305	350	1234567	Round Hill	Horseneck	8000000

Bank Accounts

Figure 4.1. An example of redundancy used with bank accounts and branches.

---

## 4.3 Insertion Anomaly

---

### Insertion Anomaly

An *insertion anomaly* occurs when you are inserting inconsistent information into a table. When we insert a new record, such as account no. A-306 in Figure 10.2, we need to check that the branch data is consistent with existing rows.

accountNo	balance	customer	branch	address	assets
A-101	500	1313131	Downtown	Brooklyn	9000000
A-102	400	1313131	Perryridge	Horseneck	1700000
A-113	600	9876543	Round Hill	Horseneck	8000000
A-201	900	9876543	Brighton	Brooklyn	7100000
A-215	700	1111111	Mianus	Horseneck	400000
A-222	700	1111111	Redwood	Palo Alto	2100000
A-305	350	1234567	Round Hill	Horseneck	8000000
A-306	800	1111111	Round Hill	Horseneck	8000800

Insertion anomaly - Insert account A-306 at Round Hill

Figure 4.2. Example of an insertion anomaly.

---

## 4.4 Update Anomaly

---

### Update Anomaly

If a branch changes address, such as the Round Hill branch in Figure 10.3, we need to update all rows referring to that branch. Changing existing information incorrectly is called an *update anomaly*.

accountNo	balance	customer	branch	address	assets
A-101	500	1313131	Downtown	Brooklyn	9000000
A-102	400	1313131	Perryridge	Horseneck	1700000
A-113	600	9876543	Round Hill	Palo Alto	8000000
A-201	900	9876543	Brighton	Brooklyn	7100000
A-215	700	1111111	Mianus	Horseneck	400000
A-222	700	1111111	Redwood	Palo Alto	2100000
A-305	350	1234567	Round Hill	Horseneck	8000000

Update Anomaly - Round Hill branch address

Figure 4.3. Example of an update anomaly.

---

## 4.5 Deletion Anomaly

---

### Deletion Anomaly

A *deletion anomaly* occurs when you delete a record that may contain attributes that shouldn't be deleted. For instance, if we remove information about the last account at a branch, such as account A-101 at the Downtown branch in Figure 10.4, all of the branch information disappears.

accountNo	balance	customer	branch	address	assets
A-101	500	1313131	Downtown	Brooklyn	9000000
A-102	400	1313131	Perryridge	Horseneck	1700000
A-113	600	9876543	Round Hill	Horseneck	8000000
A-201	900	9876543	Brighton	Brooklyn	7100000
A-215	700	1111111	Mianus	Horseneck	400000
A-222	700	1111111	Redwood	Palo Alto	2100000
A-305	350	1234567	Round Hill	Horseneck	8000000

Deletion anomaly - Bank Account

Figure 4.4. Example of a deletion anomaly.

The problem with deleting the A-101 row is we don't know where the Downtown branch is located and we lose all information regarding customer 1313131. To avoid these kinds of update or deletion problems, we need to decompose the original table into several smaller tables where each table has minimal overlap with other tables.

Each bank account table must contain information about one entity only, such as the Branch or Customer, as displayed in Figure 10.5.

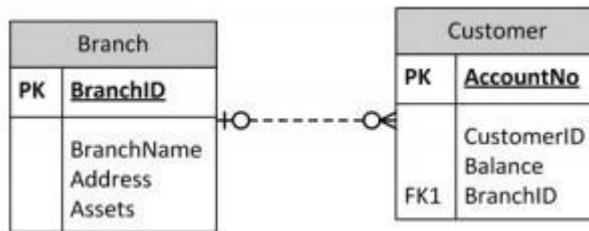


Figure 4.5. Examples of bank account tables that contain one entity each,

Following this practice will ensure that when branch information is added or updated it will only affect one record. So, when customer information is added or deleted, the branch information will not be accidentally modified or incorrectly recorded.

**Example: employee project table and anomalies**

Figure 10.6 shows an example of an employee project table. From this table, we can assume that:

1. EmpID and ProjectID are a composite PK.
2. Project ID determines Budget (i.e., Project P1 has a budget of 32 hours).

EmpID	Budget	ProjectID	Hours
S75	32	P1	7
S75	40	P2	3
S79	32	P1	4
S79	27	P3	1
S80	40	P2	5
	17	P4	

Figure 4.6. Example of an employee project table, by A. Watt.

Next, let's look at some possible anomalies that might occur with this table during the following steps.

1. Action: Add row {S85,35,P1,9}
2. Problem: There are two tuples with conflicting budgets
3. Action: Delete tuple {S79, 27, P3, 1}
4. Problem: Step #3 deletes the budget for project P3
5. Action: Update tuple {S75, 32, P1, 7} to {S75, 35, P1, 7}
6. Problem: Step #5 creates two tuples with different values for project P1's budget
7. Solution: Create a separate table, each, for Projects and Employees, as shown in Figure 4.7.

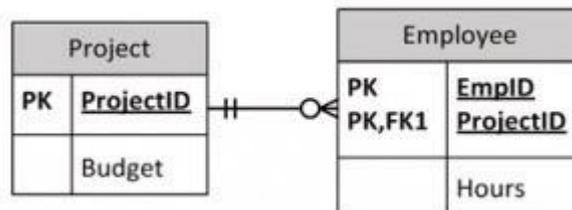


Figure 4.7. Solution: separate tables for Project and Employee, by A.

### How to Avoid Anomalies

The best approach to creating tables without anomalies is to ensure that the tables are normalized, and that's accomplished by understanding functional dependencies. FD ensures that all attributes in a table belong to that table.

In other words, it will eliminate redundancies and anomalies.

### Example: separate Project and Employee tables

ProjectID	Budget
P1	32
P2	40
P3	27
P4	17

EmpID	ProjectID	Hours
S75	P1	7
S75	P2	3
S79	P1	4
S79	P3	1
S80	P2	5

Figure 4.8. Separate Project and Employee tables with data, by A. Watt.

By keeping data separate using individual Project and Employee tables:

1. No anomalies will be created if a budget is changed.
2. No dummy values are needed for projects that have no employees assigned.
3. If an employee's contribution is deleted, no important data is lost.
4. No anomalies are created if an employee's contribution is added.

### 4.3 LET US SUM UP

In this chapter, we have studied the relational design and redundancy. Finally, we ended the discussion with the some types of anomalies : insertion anomaly, update anomaly, deletion anomaly.

### 4.4 GLOSSARY

**deletion anomaly:** occurs when you delete a record that may contain attributes that shouldn't be deleted

**functional dependency (FD):** describes how individual attributes are related

**insertion anomaly:** occurs when you are inserting inconsistent information into a table

**join:** used when you need to obtain information based on two related tables

**update anomaly:** changing existing information incorrectly

### 4.5 CHECK YOUR PROGRESS

1	An anomaly in a relation is _____?			
	A	An unusual data value	B	A duplicate data value caused by changing the data
	C	An undesirable consequence of changing the data	D	An error in the design
2	Restrictions on operations on a relation are called _____?			
	A	Deletion anomalies	B	Insertion anomalies
	C	Modification anomalies	D	Referential integrity constraints
3	A table that displays data redundancies yields _____ anomalies			
	A	Insertion	B	Update

	C	Deletion	D	All of the above
4	Due to _____, the database design precludes some data from being stored.			
	A	Insertion anomalies	B	Update anomalies
	C	Deletion anomalies	D	Selection anomalies
5	A _____ occurs when you delete a record that may contain attributes that shouldn't be deleted.			
	A	Insertion anomaly	B	Update anomaly
	C	Deletion anomaly	D	None of these.

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. C-An undesirable consequence of changing the data
2. D-Referential integrity constraints
3. D- All of the above
4. A - Insertion anomalies
5. C- Deletion anomaly

---

## 4.6 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

# **BLOCK-3**

## **FUNCTIONAL DEPENDENCIES AND NORMALIZATION**

# Unit 1: Functional Dependencies

1

## Unit Structure

- 1.1. Learning Objectives
- 1.2. Functional Dependency
- 1.3. Rules of Functional dependencies
- 1.4. Let Us Sum Up
- 1.5. Glossary
- 1.6. Check Your Progress
- 1.7. Further Reading

---

## 1.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- What is Functional Dependency
- Rules of Functional dependencies

---

## 1.2 Functional Dependency

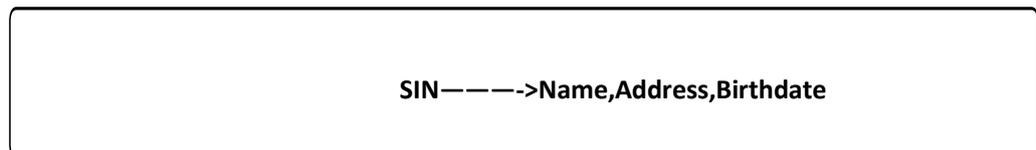
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A **functional dependency (FD)** is a relationship between two attributes, typically between the PK and other non-key attributes within a table. For any relation R, attribute Y is functionally dependent on attribute X (usually the PK), if for every valid instance of X, that value of X uniquely determines the value of Y. This relationship is indicated by the representation below :

**X** —————> **Y**

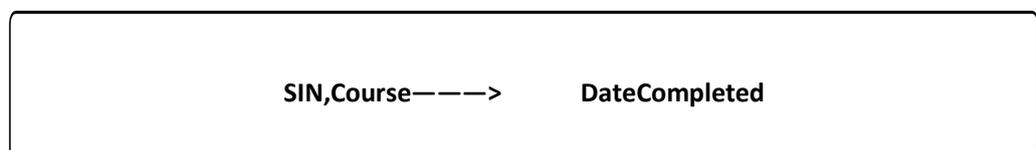
The left side of the above FD diagram is called the *determinant*, and the right side is the *dependent*. Here are a few examples.

In the first example, below, SIN determines Name, Address and Birthdate. Given



SIN, we can determine any of the other attributes within the table.

For the second example, SIN and Course determine the date completed



(DateCompleted). This must also work for a composite PK.

The third example indicates that ISBN determines Title.

ISBN----->Title

### 1.3 Rules of Functional Dependencies

Consider the following table of data  $r(R)$  of the relation schema  $R(ABCDE)$  shown in Table 1.1.

As you look at this table, ask yourself: *What kind of dependencies can we observe among the attributes in Table R?*

Since the values of A are unique (a1, a2, a3, etc.), it follows from the FD definition that:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $A \rightarrow D$ ,  $A \rightarrow E$

- It also follows that  $A \rightarrow BC$  (or any other subset of ABCDE).
- This can be summarized as  $A \rightarrow BCDE$ .
- From our understanding of primary keys, A is a primary key.

A	B	C	D	E
a1	b1	c1	d1	e1
a2	b1	c2	d2	e1
a3	b2	c1	d1	e1
a4	b2	c2	d2	e1
a5	b3	c3	d1	e1

Table R

Table 1.1. Functional dependency example, by A. Watt.

Since the values of E are always the same (all e1), it follows that:

$A \rightarrow E$ ,  $B \rightarrow E$ ,  $C \rightarrow E$ ,  $D \rightarrow E$

However, we cannot generally summarize the above with  $ABCD \rightarrow E$  because, in general,  $A \rightarrow E$ ,  $B \rightarrow E$ ,  $AB \rightarrow E$ .

Other observations:

1. Combinations of BC are unique, therefore  $BC \rightarrow ADE$ .
2. Combinations of BD are unique, therefore  $BD \rightarrow ACE$ .
3. If C values match, so do D values.
  - a. Therefore,  $C \rightarrow D$
  - b. However, D values don't determine C values
  - c. So C does not determine D, and D does not determine C.

Looking at actual data can help clarify which attributes are dependent and which are determinants.

### Inference Rules

*Armstrong's axioms* are a set of inference rules used to infer all the functional dependencies on a relational database. They were developed by William W. Armstrong. The following describes what will be used, in terms of notation, to explain these axioms.

Let  $R(U)$  be a relation scheme over the set of attributes  $U$ . We will use the letters  $X$ ,  $Y$ ,  $Z$  to represent any subset of and, for short, the union of two sets of attributes, instead of the usual  $X \cup Y$ .

### Axiom of reflexivity

This axiom says, if  $Y$  is a subset of  $X$ , then  $X$  determines  $Y$  (see Figure 1.1)

$$\text{If } Y \subseteq X, \text{ then } X \rightarrow Y$$

Figure 1.1. Equation for axiom of reflexivity.

For example, **PartNo**  $\rightarrow$  **NT123** where  $X$  (PartNo) is composed of more than one piece of information; i.e.,  $Y$  (NT) and partID (123).

### Axiom of augmentation

The axiom of augmentation, also known as a partial dependency, says if X determines Y, then XZ determines YZ for any Z (see Figure 1.2 ).

$$\text{If } X \rightarrow Y, \text{ then } XZ \rightarrow YZ \text{ for any } Z$$

Figure 1.2. Equation for axiom of augmentation.

The axiom of augmentation says that every non-key attribute must be fully dependent on the PK. In the example shown below, StudentName, Address, City, Prov, and PC (postal code) are only dependent on the StudentNo, not on the StudentNo and Grade.

StudentNo, Course  $\rightarrow$  StudentName, Address, City, Prov, PC, Grade, DateCompleted

This situation is not desirable because every non-key attribute has to be fully dependent on the PK. In this situation, student information is only partially dependent on the PK (StudentNo).

To fix this problem, we need to break the original table down into two as follows:

- Table 1: StudentNo, Course, Grade, DateCompleted
- Table 2: StudentNo, StudentName, Address, City, Prov, PC

### Axiom of transitivity

The axiom of transitivity says if X determines Y, and Y determines Z, then X must also determine Z (see Figure 1.3).

$$\text{If } X \rightarrow Y \text{ and } Y \rightarrow Z, \text{ then } X \rightarrow Z$$

Figure 1.3. Equation for axiom of transitivity.

The table below has information not directly related to the student; for instance, ProgramID and ProgramName should have a table of its own. ProgramName is not dependent on StudentNo; it's dependent on ProgramID.

StudentNo  $\rightarrow$  StudentName, Address, City, Prov, PC, ProgramID, ProgramName

This situation is not desirable because a non-key attribute (ProgramName) depends on another non-key attribute (ProgramID).

To fix this problem, we need to break this table into two: one to hold information about the student and the other to hold information about the program.

- Table 1: StudentNo  $\rightarrow$  StudentName, Address, City, Prov, PC, ProgramID
- Table 2: ProgramID  $\rightarrow$  ProgramName

However we still need to leave an FK in the student table so that we can identify which program the student is enrolled in.

### Union

This rule suggests that if two tables are separate, and the PK is the same, you may want to consider putting them together. It states that if X determines Y and X determines Z then X must also determine Y and Z (see Figure 1.4).

$$\text{If } X \rightarrow Y \text{ and } X \rightarrow Z \text{ then } X \rightarrow YZ$$

Figure 1.4. Equation for the Union rule.

For example, if:

- SIN  $\rightarrow$  EmpName
- SIN  $\rightarrow$  SpouseName

You may want to join these two tables into one as follows:

SIN  $\rightarrow$  EmpName, SpouseName

Some database administrators (*DBA*) might choose to keep these tables separated for a couple of reasons. One, each table describes a different entity so the entities should be kept apart. Two, if SpouseName is to be left NULL most of the time, there is no need to include it in the same table as EmpName.

### Decomposition

Decomposition is the reverse of the Union rule. If you have a table that appears to contain two entities that are determined by the same PK, consider breaking them up into two tables. This rule states that if X determines Y and Z, then X determines Y and X determines Z separately (see Figure 1.5).

$$\text{If } X \rightarrow YZ \text{ then } X \rightarrow Y \text{ and } X \rightarrow Z$$

Figure 1.5. Equation for decomposition rule.

### Dependency Diagram

A dependency diagram, shown in Figure 1.6, illustrates the various dependencies that might exist in a *non-normalized table*. A non-normalized table is one that has data redundancy in it.

The following dependencies are identified in this table:

- ProjectNo and EmpNo, combined, are the PK.

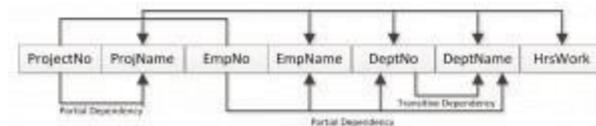


Figure 1.6. Dependency diagram.

- Partial Dependencies:

ProjectNo  $\rightarrow$  ProjName  
 EmpNo  $\rightarrow$  EmpName, DeptNo, ProjectNo, EmpNo  $\rightarrow$  HrsWork

- Transitive Dependency:

DeptNo  $\rightarrow$  DeptName

---

## 1.4 LET US SUM UP

---

In this chapter, we have studied what are Functional dependencies. We also studied about rules of Functional dependencies. Finally, we ended the discussion with the rules are inference rules, axiom of reflexivity ,axiom of augmentation ,axiom of transitivity ,union, dependency diagram .

---

## 1.5 GLOSSARY

---

**Armstrong's axioms:** a set of inference rules used to infer all the functional dependencies on a relational database

**DBA:** database administrator

**decomposition:** a rule that suggests if you have a table that appears to contain two entities that are determined by the same PK, consider breaking them up into two tables

**dependent:** the right side of the functional dependency diagram

**determinant:** the left side of the functional dependency diagram

**functional dependency (FD):** a relationship between two attributes, typically between the PK and other non-key attributes within a table

**non-normalized table:** a table that has data redundancy in it

**Union:** a rule that suggests that if two tables are separate, and the PK is the same, consider putting them together

---

## 1.6 CHECK YOUR PROGRESS

---

1	Functional Dependencies are the types of constraints that are based on _____			
	A	Key	B	Key revisited
	C	Superset key	D	None of the above
2	We can use the following three rules to find logically implied functional dependencies. This collection of rules is called			
	A	Axioms	B	Armstrong's axioms
	C	Armstrong	D	Closure
3	Consider a relation R(A,B,C,D,E) with the following functional dependencies:  ABC -> DE and			

	D-> AB The number of superkeys of R is:			
	A	2	B	7
	C	10	D	12
4	Suppose relation R(A,B,C,D,E) has the following functional dependencies:			
	A -> B B -> C BC -> A A -> D E -> A D -> E			
	Which of the following is not a key?			
	A	A	B	E
	C	B,C	D	D
5	There are two functional dependencies with the same set of attributes on the left side of the arrow:			
	A->BC			
	A->B			
	This can be combined as			
	A	A->BC	B	A->B
	C	B->C	D	None of the mentioned

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. A-key
2. B-Armstrong's axioms
3. C- 10
4. C- B,C
5. A- A->BC

---

## 1.7 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

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# Unit 2: Introduction to Data Normalization

2

## Unit Structure

- 2.1. Learning Objectives
- 2.2. Introduction to normalization
- 2.3. First normal form
- 2.4. Second normal form
- 2.5. Third normal form
- 2.6. Boyce-Codd Normal Form(BCNF)
- 2.7. Let Us Sum Up
- 2.8. Glossary
- 2.9. Check Your Progress
- 2.10. Further Reading

---

## 2.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Normalization
- Database Normal forms
  - 1NF
  - 2NF
  - 3NF
  - BCNF

---

## 2.2 INTRODUCTION TO NORMALIZATION

---

Normalization should be part of the database design process. However, it is difficult to separate the normalization process from the ER modelling process so the two techniques should be used concurrently.

Use an entity relation diagram (ERD) to provide the big picture, or macro view, of an organization's data requirements and operations. This is created through an iterative process that involves identifying relevant entities, their attributes and their relationships.

Normalization procedure focuses on characteristics of specific entities and represents the micro view of entities within the ERD.

### **What Is Normalization?**

*Normalization* is the branch of relational theory that provides design insights. It is the process of determining how much redundancy exists in a table. The goals of normalization are to:

- Be able to characterize the level of redundancy in a relational schema
- Provide mechanisms for transforming schemas in order to remove redundancy

Normalization theory draws heavily on the theory of functional dependencies. Normalization theory defines six normal forms (NF). Each normal form involves a set of dependency properties that a schema must satisfy and each normal form gives guarantees about the presence and/or absence of update anomalies. This means that higher normal forms have less redundancy, and as a result, fewer update problems.

## Normal Forms

All the tables in any database can be in one of the normal forms we will discuss next. Ideally we only want minimal redundancy for PK to FK. Everything else should be derived from other tables. There are six normal forms, but we will only look at the first four, which are:

- First normal form (1NF)
- Second normal form (2NF)
- Third normal form (3NF)
- Boyce-Codd normal form (BCNF) BCNF is rarely used.

---

## 2.2 FIRST NORMAL FORM(1NF)

---

In the *first normal form*, only single values are permitted at the intersection of each row and column; hence, there are no repeating groups.

To normalize a relation that contains a repeating group, remove the repeating group and form two new relations.

The PK of the new relation is a combination of the PK of the original relation plus an attribute from the newly created relation for unique identification.

### Process for 1NF

We will use the **Student\_Grade\_Report** table below, from a School database, as our example to explain the process for 1NF.

<b>Student_Grade_Report</b> (StudentNo,StudentName,Major,CourseNo,CourseName,InstructorNo,InstructorName,InstructorLocation, Grade)
---

- In the Student Grade Report table, the repeating group is the course information. A student can take many courses.
- Remove the repeating group. In this case, it's the course information for each student.
- Identify the PK for your new table.
- The PK must uniquely identify the attribute value (StudentNo and CourseNo).
- After removing all the attributes related to the course and student, you are left with the student course table (**StudentCourse**).
- The Student table (**Student**) is now in first normal form with the repeating group removed.
- The two new tables are shown below.

**Student**(StudentNo,StudentName,Major)

**StudentCourse**(StudentNo,CourseNo,CourseName,InstructorNo,InstructorName,InstructorLocation, Grade)

### How to update 1NF anomalies

**StudentCourse** (StudentNo, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade)

- To add a new course, we need a student.
- When course information needs to be updated, we may have inconsistencies.
- To delete a *student*, we might also delete critical information about a course.

---

## 2.3 SECOND NORMAL FORM(2NF)

---

For the *second normal form*, the relation must first be in 1NF. The relation is automatically in 2NF if, and only if, the PK comprises a single attribute.

If the relation has a composite PK, then each non-key attribute must be fully dependent on the entire PK and not on a subset of the PK (i.e., there must be no partial dependency or augmentation).

### Process for 2NF

To move to 2NF, a table must first be in 1NF.

- The Student table is already in 2NF because it has a single-column PK.
- When examining the Student Course table, we see that not all the attributes are fully dependent on the PK; specifically, all course information. The only attribute that is fully dependent is grade.
- Identify the new table that contains the course information.
- Identify the PK for the new table.
- The three new tables are shown below.

**Student**(StudentNo,StudentName,Major)

**CourseGrade**(StudentNo,CourseNo,Grade)

**CourseInstructor**(CourseNo,CourseName,InstructorNo,Instructor  
Name,InstructorLocation)

How to update 2NF anomalies

- When adding a new instructor, we need a course.
- Updating course information could lead to inconsistencies for instructor information.

- Deleting a course may also delete instructor information

---

## 2.4 THIRD NORMAL FORM(3NF)

---

To be in *third normal form*, the relation must be in second normal form. Also all transitive dependencies must be removed; a non-key attribute may not be functionally dependent on another non-key attribute.

### Process for 3NF

- Eliminate all dependent attributes in transitive relationship(s) from each of the tables that have a transitive relationship.
  - Create new table(s) with removed dependency.
  - Check new table(s) as well as table(s) modified to make sure that each table has a determinant and that no table contains inappropriate dependencies.
  - See the four new tables below.

**Student**(StudentNo,StudentName,Major)

**CourseGrade**(StudentNo,CourseNo,Grade)

**Course**(CourseNo,CourseName,InstructorNo)

**Instructor**(InstructorNo,InstructorName,InstructorLocation)

At this stage, there should be no anomalies in third normal form. Let's look at the dependency diagram (Figure 12.1) for this example. The first step is to remove repeating groups, as discussed above.

**Student** (StudentNo, StudentName, Major)

**StudentCourse** (StudentNo, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade) To recap the normalization process for the School database, review the dependencies shown in Figure 2.1.

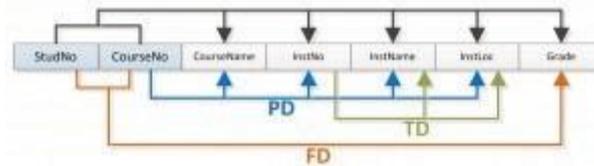


Figure 2.1 Dependency diagram, by A. Watt.

The abbreviations used in Figure 12.1 are as follows:

- PD: partial dependency
- TD: transitive dependency
- FD: full dependency (Note: FD typically stands for **functional** dependency. Using FD as an abbreviation for full dependency is only used in Figure 12.1.)

---

## 2.5 BOYCE-CODD NORMAL FORM (BCNF)

---

When a table has more than one candidate key, anomalies may result even though the relation is in 3NF. *Boyce-Codd normal form* is a special case of 3NF. A relation is in BCNF if, and only if, every determinant is a candidate key.

BCNF Example 1

Consider the following table (**St\_Maj\_Adv**).

Student_id	Major	Advisor
111	Physics	Smith
111	Music	Chan
320	Math	Dobbs

671	Physics	White
803	Physics	Smith

The *semantic rules* (business rules applied to the database) for this table are:

1. Each Student may major in several subjects.
2. For each Major, a given Student has only one Advisor.
3. Each Major has several Advisors.
4. Each Advisor advises only one Major.
5. Each Advisor advises several Students in one Major.

The functional dependencies for this table are listed below. The first one is a candidate key; the second is not.

1. Student\_id, Major  $\longrightarrow$  Advisor
2. Advisor  $\longrightarrow$  Major Anomalies for this table include:
  1. Delete – student deletes advisor info
  2. Insert – a new advisor needs a student
  3. Update – inconsistencies

**Note:** No single attribute is a candidate key.

PK can be Student\_id, Major or Student\_id, Advisor.

To reduce the **St\_Maj\_Adv** relation to BCNF, you create two new tables:

1. **St\_Adv** (Student\_id, Advisor)
2. **Adv\_Maj** (Advisor, Major)

**St\_Adv** table

<b>Student_id</b>	<b>Advisor</b>
111	Smith
111	Chan
320	Dobbs
671	White
803	Smith

**Adv\_Maj** table

<b>Advisor</b>	<b>Major</b>
Smith	Physics
Chan	Music
Dobbs	Math
White	Physics

BCNF Example 2

Consider the following table (**Client\_Interview**).

<b>ClientNo</b>	<b>Interview Date</b>	<b>Interview Time</b>	<b>StaffNo</b>	<b>Room No</b>
CR76	13-May-02	10.30	SG5	G101
CR56	13-May-02	12.00	SG5	G101
CR74	13-May-02	12.00	SG37	G102
CR56	1-July-02	10.30	SG5	G102

FD1 – ClientNo, InterviewDate → InterviewTime, StaffNo, RoomNo (PK)

FD2 – staffNo, interviewDate, interviewTime → clientNO (candidate key: CK)

FD3 – roomNo, interviewDate, interviewTime → staffNo, clientNo (CK)

FD4 – staffNo, interviewDate → roomNo

A relation is in BCNF if, and only if, every determinant is a candidate key. We need to create a table that incorporates the first three FDs (**Client\_Interview2** table) and another table (**StaffRoom** table) for the fourth FD.

**Client\_Interview2** table

<b>ClientNo</b>	<b>Interview Date</b>	<b>InterView Time</b>	<b>StaffNo</b>
CR76	13-May-02	10.30	SG5
CR56	13-May-02	12.00	SG5
CR74	13-May-02	12.00	SG37
CR56	1-July-02	10.30	SG5

**StaffRoom** table

<b>StaffNo</b>	<b>Interview Date</b>	<b>RoomNo</b>
SG5	13-May-02	G101
SG37	13-May-02	G102
SG5	1-July-02	G102

## Normalization and Database Design

During the normalization process of database design, make sure that proposed entities meet required normal form before table structures are created. Many real-world databases have been improperly designed or burdened with anomalies if improperly modified during the course of time. You may be asked to redesign and modify existing databases. This can be a large undertaking if the tables are not properly normalized.

---

## 2.6 LET US SUM UP

---

In this chapter, we have studied what is Normalization. We also studied database Normal forms are 1NF, 2NF, 3NF, BCNF. And normal forms are occurring with the process. Finally, we ended the normalize and database design.

---

## 2.7 GLOSSARY

---

**Boyce-Codd normal form (BCNF):** a special case of 3rd NF

**first normal form (1NF):** only single values are permitted at the intersection of each row and column so there are no repeating groups

**normalization:** the process of determining how much redundancy exists in a table

**second normal form (2NF):** the relation must be in 1NF and the PK comprises a single attribute

**semantic rules:** business rules applied to the database

**third normal form (3NF):** the relation must be in 2NF and all transitive dependencies must be removed; a non-key attribute may not be functionally dependent on another non-key attribute

---

## 2.8 CHECK YOUR PROGRESS

---

1	In the _____ normal form, a composite attribute is converted to individual attributes.			
	A	first	B	Second
	C	Third	D	Fourth
2	Tables in second normal form (2NF):			

	A	Eliminate all hidden dependencies	B	Eliminate the possibility of a insertion anomalies
	C	Have a composite key	D	Have all non key fields depend on the whole primary key
3	Which-one ofthe following statements about normal forms is FALSE?			
	A	BCNF is stricter than 3 NF	B	Lossless, dependency -preserving decomposition into 3 NF is always possible
	C	Loss less, dependency – preserving decomposition into BCNF is always possible	D	Any relation with two attributes is BCNF
4	Empdt1(empcode, name, street, city, state, pincode). For any pincode, there is only one city and state. Also, for given street, city and state, there is just one pincode. In normalization terms, empdt1 is a relation in			
	A	1 NF only	B	2 NF and hence also in 1 NF
	C	3NF and hence also in 2NF and 1NF	D	BCNF and hence also in 3NF, 2NF and 1NF
5	Which forms has a relation that possesses data about an individual entity:			
	A	1NF	B	2NF
	C	3NF	D	4NF

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. A- first
2. A-Eliminate all hidden dependencies
3. C- Loss less, dependency – preserving decomposition into BCNF is always possible
4. B- 2 NF and hence also in 1 NF
5. D- 4NF

---

## 2.9 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

# **BLOCK-4**

## **SQL-STATEMENTS**

# Unit 1: Introduction to SQL

1

## Unit Structure

- 1.1. Learning Objectives
- 1.2. Introduction to SQL
- 1.3. Create database
- 1.4. Types of constraints
- 1.5. Let Us Sum Up
- 1.6. Glossary
- 1.7. Check Your Progress
- 1.8. Further Reading
- 1.9. Assignments

---

## 1.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- SQL introduction
- How to create database

---

## 1.2 INTRODUCTION SQL

---

**Structured Query Language (SQL)** is a database language designed for managing data held in a relational database management system. SQL was initially developed by IBM in the early 1970s (Date 1986). The initial version, called *SEQUEL* (Structured English Query Language), was designed to manipulate and retrieve data stored in IBM's quasi-relational database management system, System R. Then in the late 1970s, Relational Software Inc., which is now Oracle Corporation, introduced the first commercially available implementation of SQL, Oracle V2 for VAX computers.

Many of the currently available relational DBMSs, such as Oracle Database, Microsoft SQL Server (shown in Figure 1.1), MySQL, IBM DB2, IBM Informix and Microsoft Access, use SQL.

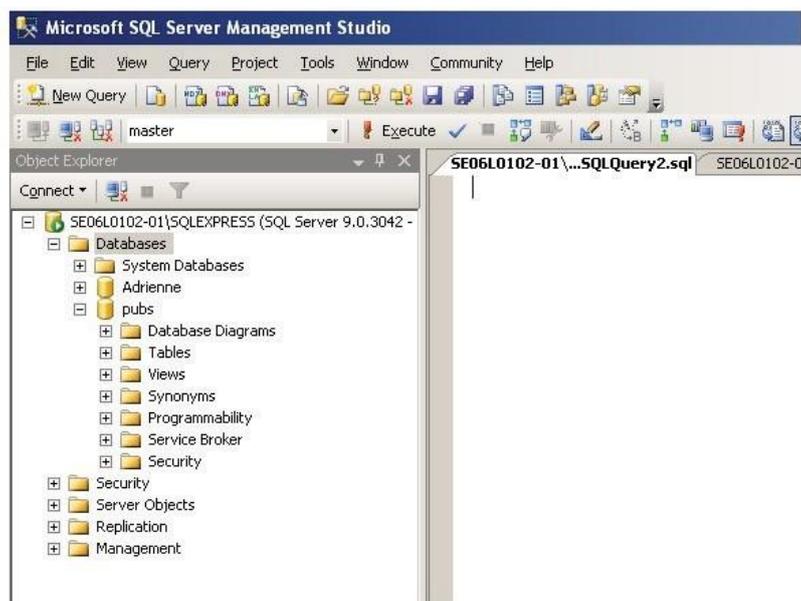


Figure 1.1. Example of Microsoft SQL Server, by A. Watt

In a DBMS, the SQL database language is used to:

- Create the database and table structures
- Perform basic data management chores (add, delete and modify)
- Perform complex queries to transform raw data into useful information

In this chapter, we will focus on using SQL to create the database and table structures, mainly using SQL as a data definition language (*DDL*). In Chapter 16, we will use SQL as a data manipulation language (*DML*) to insert, delete, select and update data within the database tables.

---

## 1.2 CREATE DATABASE

---

### Create Database

The major SQL DDL statements are CREATE DATABASE and CREATE/DROP/ALTER TABLE. The SQL statement CREATE is used to create the database and table structures.

#### Example: CREATE DATABASE SW

A new database named **SW** is created by the SQL statement CREATE DATABASE SW. Once the database is created, the next step is to create the database tables.

The general format for the CREATE TABLE command is:

```
CREATETABLE<tablename>(
    ColumnName,Datatype,Optional
    ColumnConstraint,ColumnName,
    Datatype,OptionalColumnConstr
    aint,OptionaltableConstraints
);
```

Tablename is the name of the database table such as **Employee**. Each field in the CREATE TABLE has three parts (see above):

1. ColumnName
2. Data type
3. Optional Column Constraint

#### ColumnName

The ColumnName must be unique within the table. Some examples of ColumnNames are FirstName and LastName.

#### Data Type

The data type, as described below, must be a system data type or a user-defined data type. Many of the data types have a size such as CHAR(35) or Numeric(8,2).

**Bit** –Integer data with either a 1 or 0 value

**Int** –Integer (whole number) data from  $-2^{31}$  (-2,147,483,648) through  $2^{31} - 1$  (2,147,483,647)

**Smallint** –Integer data from  $2^{15}$  (-32,768) through  $2^{15} - 1$  (32,767)

**Tinyint** –Integer data from 0 through 255

**Decimal** –Fixed precision and scale numeric data from  $-10^{38} - 1$  through  $10^{38}$

**Numeric** –A synonym for **decimal**

**Timestamp** –A database-wide unique number

**Uniqueidentifier** –A globally unique identifier (GUID)

**Money** – Monetary data values from  $-2^{63}$  (-922,337,203,685,477.5808) through  $2^{63} - 1$  (+922,337,203,685,477.5807), with accuracy to one-ten-thousandth of a monetary unit

**Smallmoney** –Monetary data values from -214,748.3648 through +214,748.3647, with accuracy to one-ten- thousandth of a monetary unit

**Float** –Floating precision number data from -1.79E + 308 through 1.79E + 308

**Real** –Floating precision number data from -3.40E + 38 through 3.40E + 38

**Datetime** –Date and time data from January 1, 1753, to December 31, 9999, with an accuracy of one-three- hundredths of a second, or 3.33 milliseconds

**Smalldatetime** –Date and time data from January 1, 1900, through June 6, 2079, with an accuracy of one minute

**Char** –Fixed-length non-Unicode character data with a maximum length of 8,000 characters

**Varchar** –Variable-length non-Unicode data with a maximum of 8,000 characters

**Text** –Variable-length non-Unicode data with a maximum length of  $2^{31} - 1$  (2,147,483,647) characters

**Binary** –Fixed-length binary data with a maximum length of 8,000 bytes

**Varbinary** –Variable-length binary data with a maximum length of 8,000 bytes

**Image** – Variable-length binary data with a maximum length of  $2^{31} - 1$  (2,147,483,647) bytes

---

## 1.2 TYPES OF CONSTRAINTS

---

### Optional Column Constraints

The Optional ColumnConstraints are NULL, NOT NULL, UNIQUE, PRIMARY KEY and DEFAULT, used to initialize a value for a new record. The column constraint NULL indicates that null values are allowed, which means that a row can be created without a value for this column. The column constraint NOT NULL indicates that a value must be supplied when a new row is created.

To illustrate, we will use the SQL statement CREATE TABLE EMPLOYEES to create the employees table with 16 attributes or fields.

```

USES W
CREATETABLEEMPLOYEES(
EmployeeNo          CHAR(10)      NOTNULL      UNIQUE,
DepartmentName     CHAR(30)      NOTNULL      DEFAULT"HumanResources",
FirstName          CHAR(25)      NOTNULL,
LastName           CHAR(25)      NOTNULL,

Category           CHAR(20)      NOTNULL,
HourlyRate         CURRENCY      NOT
TimeCard           LOGICAL       NULL,NOT
                  NULL,
HourlySalaried     CHAR(1)      NOTNULL,
EmpType            CHAR(1)      NOTNULL,
Terminated         LOGICAL       NOTNULL,
ExemptCode         CHAR(2)      NOTNULL,
Supervisor         LOGICAL       NOTNULL,
SupervisorName     CHAR(50)     NOTNULL,
BirthDate          DATE          NOTNULL,
CollegeDegree      CHAR(5)      NOTNULL,
CONSTRAINT        Employee_PK  PRIMARYKEY(EmployeeNo
);*

```

The first field is EmployeeNo with a field type of CHAR. For this field, the field length is 10 characters, and the user cannot leave this field empty (NOT NULL)

Similarly, the second field is DepartmentName with a field type CHAR of length 30. After all the table columns are defined, a table constraint, identified by the word CONSTRAINT, is used to create the primary key:

```

CONSTRAINT EmployeePK PRIMARYKEY(EmployeeNo)

```

We will discuss the constraint property further later in this chapter.

Likewise, we can create a Department table, a Project table and an Assignment table using the CREATE TABLE SQL DDL command as shown in the below example.

```
USES W
CREATE TABLE DEPARTMENT(
    DepartmentName Char(35) NOT NULL,
    BudgetCode      Char(30) NOT NULL,
    OfficeNumber Char(15) NOT NULL,
    Phone Char(15) NOT NULL,
    CONSTRAINT DEPARTMENT_PK PRIMARY KEY (DepartmentName)
);
```

In this example, a project table is created with seven fields: ProjectID, ProjectName, Department, MaxHours, StartDate, and EndDate.

```
USES W
CREATE TABLE PROJECT(
    ProjectID Int NOT NULL IDENTITY(1000,100),
    ProjectName Char(50) NOT NULL,
    Department Char(35) NOT NULL,
    MaxHours Numeric(8,2) NOT NULL DEFAULT 100,
    StartDate DateTime NULL,
    EndDate DateTime NULL,
    CONSTRAINT ASSIGNMENT_PK PRIMARY KEY (ProjectID)
);
```

In this last example, an assignment table is created with three fields: ProjectID, EmployeeNumber, and HoursWorked. The assignment table is used to record who (EmployeeNumber) and how much time(HoursWorked) an employee worked on the particular project(ProjectID).

```
USES  
CREATE TABLE ASSIGNMENT(  
    ProjectID    Int NOT NULL,  
    EmployeeNumber Int NOT NULL,  
    HoursWorked Numeric(6,2) NULL,  
);
```

## Table Constraints

Table constraints are identified by the CONSTRAINT keyword and can be used to implement various constraints described below.

### IDENTITY constraint

We can use the optional column constraint IDENTITY to provide a unique, incremental value for that column. Identity columns are often used with the PRIMARY KEY constraints to serve as the unique row identifier for the table. The IDENTITY property can be assigned to a column with a tinyint, smallint, int, decimal or numeric data type. This constraint:

- Generates sequential numbers
- Does not enforce entity integrity
- Only one column can have the IDENTITY property
- Must be defined as an integer, numeric or decimal data type
- Cannot update a column with the IDENTITY property
- Cannot contain NULL values

- Cannot bind defaults and default constraints to the column

For IDENTITY[(seed, increment)]

- Seed – the initial value of the identity column
- Increment – the value to add to the last increment column

We will use another database example to further illustrate the SQL DDL statements by creating the table tblHotel in this HOTEL database.

```
CREATE TABLE tblHotel
(
    HotelNo Int IDENTITY (1,1),
    Name Char(50) NOT NULL,
    Address Char(50) NULL,
    City Char(25) NULL,
)
```

## **UNIQUE constraint**

The UNIQUE constraint prevents duplicate values from being entered into a column.

- Both PK and UNIQUE constraints are used to enforce entity integrity.
- Multiple UNIQUE constraints can be defined for a table.
- When a UNIQUE constraint is added to an existing table, the existing data is always validated.
- A UNIQUE constraint can be placed on columns that accept nulls. *Only one row can be NULL.*
- A UNIQUE constraint automatically creates a unique index on the selected column.

This is the general syntax for the UNIQUE constraint:

```
[CONSTRAINT constraint_name]
UNIQUE[CLUSTERED|NONCLUSTERED]
(col_name[,col_name2[...col_name16]])
[ON segment_name]
```

This is an example using the UNIQUE constraint.

```
CREATE TABLE EMPLOYEEES
(
EmployeeNo CHAR(10) NOTNULL UNIQUE,
)
```

## FOREIGN KEY constraint

The FOREIGN KEY (FK) constraint defines a column, or combination of columns, whose values match the PRIMARY KEY (PK) of another table.

- Values in an FK are automatically updated when the PK values in the associated table are updated/ changed.
- FK constraints must reference PK or the UNIQUE constraint of another table.
- The number of columns for FK must be same as PK or UNIQUE constraint.
- If the WITH NOCHECK option is used, the FK constraint will not validate existing data in a table.

- No index is created on the columns that participate in an FK constraint.

This is the general syntax for the FOREIGN KEY constraint:

```
[CONSTRAINT constraint_name]
[FOREIGN KEY (col_name [, col_name2 [...,
col_name16]]) REFERENCES [owner.]ref_table [(ref_col[,ref_col2[...[,r
ef_col16]])]
```

In this example, the field HotelNo in the tblRoom table is a FK to the field HotelNo in the tblHotel table shown previously.

```
USE HOTEL
GO
CREATE TABLE tblRoom
(
  HotelNo Int NOT NULL ,
  RoomNo Int NOT NULL,
  Type Char(50) NULL,
  Price Money NULL,
  PRIMARY KEY (HotelNo, RoomNo),
  FOREIGN KEY (HotelNo) REFERENCES tblHotel
)
```

## CHECK constraint

The CHECK constraint restricts values that can be entered into a table.

- It can contain search conditions similar to a WHERE clause.
- It can reference columns in the same table.
- The data validation rule for a CHECK constraint must evaluate to a boolean expression.

- It can be defined for a column that has a rule bound to it.

This is the general syntax for the CHECK constraint:

```
[CONSTRAINT constraint_name]
```

In this example, the Type field is restricted to have only the types 'Single', 'Double', 'Suite' or 'Executive'.

```
USE HOTEL
GO
CREATE TABLE tblRoom
(
    HotelNo Int NOT NULL,
    RoomNo Int NOT NULL,
    Type Char(50) NULL,
    Price Money NULL,
    PRIMARY KEY (HotelNo, RoomNo),
    FOREIGN KEY (HotelNo) REFERENCES tblHotel
    CONSTRAINT Valid_Type
    CHECK (Type IN ('Single', 'Double', 'Suite', 'Executive'))
)
```

In this second example, the employee hire date should be before January 1, 2004, or have a salary limit of \$300,000.

```
GO
CREATE TABLE SALESREPS
(
  Empl_num Int Not Null
  CHECK (Empl_num BETWEEN 101 and 199),
  Name Char (15),
  Age Int CHECK (Age >= 21),
  Quota Money CHECK (Quota >= 0.0),
  HireDate DateTime,
  CONSTRAINT QuotaCap CHECK ((HireDate < "01-01-2004") OR (Quota <=300000))
)
```

### DEFAULT constraint

The DEFAULT constraint is used to supply a value that is automatically added for a column if the user does not supply one.

- A column can have only one DEFAULT.
- The DEFAULT constraint cannot be used on columns with a timestamp data type or identity property.
- DEFAULT constraints are automatically bound to a column when they are created.

```
[CONSTRAINTconstraint_name]
DEFAULT{constant_expression|niladic-
function|NULL}
[FORcol_name]
```

The general syntax for the DEFAULT constraint is:

This example sets the default for the city field to 'Vancouver'.

```
USE HOTEL
ALTER TABLE tblHotel
Add CONSTRAINT df_city DEFAULT 'Vancouver' FOR City
```

## User Defined Types

User defined types are always based on system-supplied data type. They can enforce data integrity and they allow nulls.

To create a user-defined data type in SQL Server, choose types under “Programmability” in your database. Next, right click and choose ‘New’ → ‘User-defined data type’ or execute the `sp_addtype` system stored procedure. After this, type: This will add a new user-defined data type called SIN with nine characters.

```
sp_addtypesn,'varchar(11)','NOTNULL'
```

In this example, the field EmployeeSIN uses the user-defined data type SIN.

```
CREATE TABLE SINTable
(
EmployeeID INT Primary Key,
EmployeeSIN SIN,
CONSTRAINT CheckSIN
CHECK (EmployeeSIN LIKE '[0-9][0-9][0-9] - [0-9][0-9] [0-9] - [0-9][0-9][0-9] ')
)
```

## ALTER TABLE

You can use ALTER TABLE statements to add and drop constraints.

- ALTER TABLE allows columns to be removed.
- When a constraint is added, all existing data are verified for violations.

In this example, we use the ALTER TABLE statement to the IDENTITY property to a ColumnName field.

```
USE HOTEL
GO
ALTER TABLE tblHotel
ADD CONSTRAINT unqName UNIQUE (Name)
```

Use the ALTER TABLE statement to add a column with the IDENTITY property such as ALTER TABLE tableName.

```
ADD
ColumnName int IDENTITY(seed, increment)
```

## **DROP TABLE**

The DROP TABLE will remove a table from the database. Make sure you have the correct database selected.

```
DROP TABLE tblHotel
```

Executing the above SQL DROP TABLE statement will remove the table tblHotel from the database.

---

## **1.3 LET US SUM UP**

---

In this chapter, we have studied what is SQL. We also studied about how to create the database SQL. Finally, we ended the discussion with types of constraint property: optional column constraints, identity constraints, table ,Unique constraints, Foreign key constraints ,check constraints,default constraints.

---

## **1.4 GLOSSARY**

---

**DDL:** abbreviation for *data definition language*

**DML:** abbreviation for *data manipulation language*

**SEQUEL:** acronym for *Structured English Query Language*; designed to manipulate and retrieve data stored in IBM's quasi-relational database management system, System R

**Structured Query Language (SQL):** a database language designed for managing data held in a relational database management system

---

## 1.4 CHECK YOUR PROGRESS

---

1	The _____ clause allows us to select only those rows in the result relation of the _____ clause that satisfy a specified predicate.			
	A	Where, from	B	From, select
	C	Select, from	D	From, where
2	Constraints can be applied on _____			
	A	Column	B	Table
	C	Field	D	All of the above
3	Point out the wrong statement.			
	A	Table constraints must be used when more than one column must be included in a constraint	B	A column constraint is specified as part of a column definition and applies only to that column
	C	A table constraint is declared independently from a column definition and can apply to more than one column in a table	D	Primary keys allow for NULL as one of the unique values
4	Which of the following is not a foreign key constraint?			
	A	NO ACTION	B	CASCADE
	C	SET NULL	D	All of the mentioned
5	Which of the constraint can be enforced one per table?			
	A	Primary key constraint	B	Not Null constraint
	C	Foreign Key constraint	D	Check constraint

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. C- Select,from
2. A- column
3. D- Primary keys allow for NULL as one of the unique values

4. B- CASCADE
5. A- Primary key constraint

---

## **1.5 FURTHER READING**

---

1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

# Unit 2:SQL – Data Manipulation Language

## 2

### Unit Structure

- 2.1. Learning Objectives
- 2.2. Introduction to SQL-DML
- 2.3. Select statement
- 2.4. Insert statement
- 2.5. Update statement
- 2.6. Delete statement
- 2.7. Built –in functions
- 2.8. Let Us Sum Up
- 2.9. Glossary
- 2.10. Check Your Progress
- 2.11. Further Reading
- 2.12. Assignments

---

## 2.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Introduction to SQL- Data Manipulation Language
- Select statement
- Insert statement
- Update statement
- Delete statement
- Built in functions
  - Aggregate
  - Conversion
  - Date
  - Mathematical functions etc.

---

## 2.2 INTRODUCTION TO SQL-DML

---

The SQL data manipulation language (DML) is used to query and modify database data. In this chapter, we will describe how to use the **SELECT**, **INSERT**, **UPDATE**, and **DELETE** SQL DML command statements, defined below.

- *SELECT* – to query data in the database
- *INSERT* – to insert data into a table
- *UPDATE* – to update data in a table
- *DELETE* – to delete data from a table

In the SQL DML statement:

- Each clause in a statement should begin on a new line.
- The beginning of each clause should line up with the beginning of other clauses.

- If a clause has several parts, they should appear on separate lines and be indented under the start of the clause to show the relationship.
- Upper case letters are used to represent reserved words.
- Lower case letters are used to represent user-defined words.

---

## 2.3 SELECT STATEMENT

---

The SELECT statement, or command, allows the user to extract data from tables, based on specific criteria. It is processed according to the following sequence:

```
SELECT DISTINCT item(s)
FROM table(s) WHERE predicate GROUP BY field(s) ORDER BY fields
```

We can use the SELECT statement to generate an employee phone list from the Employees table as follows:

```
SELECT FirstName, LastName, phone
FROM Employees
ORDER BY LastName
```

This action will display employee's last name, first name, and phone number from the Employees table, seen in Table 2.1.

Last Name	First Name	Phone Number
Hagans	Jim	604-232-3232
Wong	Bruce	604-244-2322

*Table 2.1. Employees table.*

In this next example, we will use a Publishers table (Table 16.2). (You will notice that Canada is misspelled in the *Publisher Country* field for Example Publishing and ABC Publishing. To correct misspelling, use the UPDATE statement to standardize the country field to Canada – see UPDATE statement later in this chapter.)

<b>Publisher Name</b>	<b>Publisher City</b>	<b>Publisher Province</b>	<b>Publisher Country</b>
Acme Publishing	Vancouver	BC	Canada
Example Publishing	Edmonton	AB	Cnada
ABC Publishing	Toronto	ON	Canda

*Table 2.2. Publishers table.*

If you add the publisher’s name and city, you would use the SELECT statement followed by the fields name separated by a comma:

**SELECT PubName, city FROM Publishers**

This action will display the publisher’s name and city from the Publishers table.

If you just want the publisher’s name under the display name city, you would use the SELECT statement with *no comma* separating pub\_name and city:

```
SELECT PubName city FROM Publishers
```

Performing this action will display only the pub\_name from the Publishers table with a “city” heading. If you do not include the comma, SQL Server assumes you want a new column name for pub\_name.

**SELECT statement with WHERE criteria**

Sometimes you might want to focus on a portion of the Publishers table, such as only publishers that are in Vancouver. In this situation, you would use the SELECT statement with the WHERE criterion, i.e., WHERE city = 'Vancouver'.

These first two examples illustrate how to limit record selection with the WHERE criterion using BETWEEN. Each of these examples give the same results for store items with between 20 and 50 items in stock.

Example #1 uses the quantity, *qty* BETWEEN 20 and 50.

```
SELECT StorID, qty, TitleID
FROM Sales
WHERE qty BETWEEN 20 and 50 (includes the 20 and 50)
```

Example #2, on the other hand, uses *qty* >=20 and *qty* <=50 .

```
SELECT StorID, qty, TitleID
FROM Sales
WHERE qty >=20 and qty <=50
```

Example #3 illustrates how to limit record selection with the WHERE criterion using NOT BETWEEN

```
SELECT StorID, qty, TitleID
FROM Sales
WHERE qty NOT BETWEEN 20 and 50
```

The next two examples show two different ways to limit record selection with the WHERE criterion using IN, with each yielding the same results.

Example #4 shows how to select records using *province=* as part of the WHERE statement.

```
SELECT*  
FROMPublishers  
WHEREprovince ='BC' ORprovince ='AB' ORprovince ='ON'
```

Example #5 select records using *province IN* as part of the WHERE statement.

```
SELECT*  
FROMPublishers  
WHEREprovinceIN ('BC','AB','ON')
```

The final two examples illustrate how NULL and NOT NULL can be used to select records. For these examples, a Books table (not shown) would be used that contains fields called Title, Quantity, and Price (of book). Each publisher has a Books table that lists all of its books.

Example

#6

uses

NULL.

```
SELECT price, title
FROM Books
WHERE price IS NULL
```

Example #7 uses NOT NULL.

```
SELECT price, title FROM Books
WHERE price IS NOT NULL
```

### Using wildcards in the LIKE clause

The LIKE keyword selects rows containing fields that match specified portions of character strings. LIKE is used with char, varchar, text, datetime and smalldatetime data. A *wildcard* allows the user to match fields that contain certain letters. For example, the wildcard province = 'N%' would give all provinces that start with the letter 'N'. Table 16.3 shows four ways to specify wildcards in the SELECT statement in regular expression format.

%	Any string of zero or more characters
_	Any single character
[ ]	Any single character within the specified range (e.g., [a-f]) or set (e.g.,

	[abcdef])
[^]	Any single character not within the specified range (e.g., [^a – f]) or set (e.g., [^abcdef])

Table 16.3. How to specify wildcards in the SELECT statement.

In example #1, LIKE 'Mc%' searches for all last names that begin with the letters "Mc" (e.g., McBadden).

```
SELECT LastName
FROM Employees
WHERE LastName LIKE 'Mc%'
```

For example #2: LIKE '%inger' searches for all last names that end with the letters "inger" (e.g., Ringer, Stringer).

```
SELECT LastName
FROM Employees
WHERE LastName LIKE '%inger'
```

In, example #3: LIKE '%en%' searches for all last names that have the letters "en" (e.g., Bennett, Green, McBadden).

```
SELECT LastName
FROM Employees
WHERE LastName LIKE '%en%'
```

## **SELECT statement with ORDER BY clause**

You use the ORDER BY clause to sort the records in the resulting list. Use *ASC* to sort the results in ascending order and *DESC* to sort the results in descending order.

For example, with ASC:

```
SELECT*  
FROMEmployees  
ORDERBYHireDateASC
```

And with DESC:

```
SELECT*  
FROMBooks  
ORDERBYtype,priceDESC
```

## **SELECT statement with GROUP BY clause**

The *GROUP BY* clause is used to create one output row per each group and produces summary values for the selected columns, as shown below.

```
SELECT typeFROM Books  
GROUPBYtype
```

Here is an example using the above statement.

```
SELECT type AS 'Type', MIN(price) AS 'Minimum Price'  
FROM Books  
WHERE royalty > 10  
GROUP BY type
```

If the SELECT statement includes a WHERE criterion where *price is not null*,

```
SELECT type, price  
FROM Books  
WHERE price IS NOT NULL
```

then a statement with the GROUP BY clause would look like this:

```
SELECT type AS 'Type', MIN(price) AS 'Minimum Price'  
FROM Books  
WHERE price IS NOT NULL  
GROUP BY type
```

### Using COUNT with GROUP BY

We can use COUNT to tally how many items are in a container. However, if we want to count different items into separate groups, such as marbles of varying colours, then we would use the COUNT function with the GROUP BY command.

The below SELECT statement illustrates how to count groups of data using the COUNT function with the GROUP BY clause.

```
SELECT COUNT(*) FROM Books GROUP BY type
```

## Using AVG and SUM with GROUP BY

We can use the AVG function to give us the average of any group, and SUM to give the total. Example #1 uses the AVG FUNCTION with the GROUP BY type.

```
SELECTAVG(qty)
FROM Books
GROUPBYtype
```

```
SELECTSUM(qty)
FROM Books
GROUPBYtype
```

Example #2 uses the SUM function with the GROUP BY type.

```
SELECT'TotalSales'=SUM(qty),'AverageSales'=AVG(qty),s
tor_idFROMSales
GROUPBYStorIDORDERBY'TotalSales'
```

Example #3 uses both the AVG and SUM functions with the GROUP BY type in the SELECT statement.

## Restricting rows with HAVING

The HAVING clause can be used to restrict rows. It is similar to the WHERE condition except HAVING can include the aggregate function; the WHERE cannot do this.

The HAVING clause behaves like the WHERE clause, but is applicable to groups. In this example, we use the HAVING clause to exclude the groups with the province 'BC'.

```
SELECT au_fname AS 'Author's FirstName', province AS 'Province'
FROM Authors
GROUP BY au_fname, province
HAVING province <> 'BC'
```

---

## 2.4 INSERT STATEMENT

---

The *INSERT statement* adds rows to a table. In addition,

- INSERT specifies the table or view that data will be inserted into.
- Column\_list lists columns that will be affected by the INSERT.
- If a column is omitted, each value must be provided.
- If you are including columns, they can be listed in any order.
- VALUES specifies the data that you want to insert into the table. VALUES is required.
- Columns with the IDENTITY property should not be explicitly listed in the column\_list or values\_clause.

The syntax for the INSERT statement is:

```
INSERT[INTO]Table_name|viewname[column_list]
DEFAULTVALUES|values_list|selectstatement
```

When inserting rows with the INSERT statement, these rules apply:

- Inserting an empty string ( ' ') into a varchar or text column inserts a single space.
- All char columns are right-padded to the defined length.
- All trailing spaces are removed from data inserted into varchar columns, except in strings that contain only spaces. These strings are truncated to a single space.
- If an INSERT statement violates a constraint, default or rule, or if it is the wrong data type, the statement fails and SQL Server displays an error message.

When you specify values for only some of the columns in the column\_list, one of three things can happen to the columns that have no values:

1. A default value is entered if the column has a DEFAULT constraint, if a default is bound to the column, or if a default is bound to the underlying user-defined data type.
2. NULL is entered if the column allows NULLs and no default value exists for the column.
3. An error message is displayed and the row is rejected if the column is defined as NOT NULL and no default exists.

This example uses INSERT to add a record to the publisher's Authors table.

```
INSERTINTOAuthors
VALUES('555-093-467','Martin','April','281555-
5673','816MarketSt.','Vancouver','BC','V7G3P4', 0)
```

This following example illustrates how to insert a partial row into the Publishers table with a column list. The country column had a default value of Canada so it does not require that you include it in your values.

```
INSERTINTOPublishers(PubID,PubName,city,province)
VALUES('9900','AcmePublishing','Vancouver','BC')
```

To insert rows into a table with an IDENTITY column, follow the below example. Do not supply the value for the IDENTITY nor the name of the column in the column list.

```
INSERT INTO jobs
VALUES('DBA',100,175)
```

### **Inserting specific values into an IDENTITY column**

By default, data cannot be inserted directly into an IDENTITY column; however, if a row is accidentally deleted, or there are gaps in the IDENTITY column values, you can insert a row and specify the IDENTITY column value.

```
IDENTITY_INSERT option
```

To allow an insert with a specific identity value, the IDENTITY\_INSERT option can be used as follows.

```
SET IDENTITY_INSERT jobs ON
INSERT INTO jobs (job_id, job_desc, min_lvl, max_lvl)
VALUES (19, 'DBA2', 100, 175)
SET IDENTITY_INSERT jobs OFF
```

### Inserting rows with a SELECT statement

We can sometimes create a small temporary table from a large table. For this, we can insert rows with a SELECT statement. When using this command, there is no validation for uniqueness. Consequently, there may be many rows with the same pub\_id in the example below.

This example creates a smaller temporary Publishers table using the CREATE TABLE statement. Then the INSERT with a SELECT statement is used to add records to this temporary Publishers table from the publis table.

```
CREATE TABLE dbo.tmpPublishers(
  PubID char (4) NOT NULL ,
  PubName varchar(40) NULL,
  city varchar (20) NULL ,
  province char(2) NULL,
  country varchar(30) NULL DEFAULT('Canada')
)
INSERT tmpPublishers
SELECT * FROM Publishers
```

In this example, we're copying a subset of data.

In this example, the publishers' data are copied to the tmpPublishers table and the country column is set to Canada.

```
INSERTtmpPublishers(PubID,PubName,city,province,country)
SELECTPubID,PubName,city,province,'Canada'
FROMPublishers
```

---

## 2.5 UPDATE STATEMENT

---

The *UPDATE statement* changes data in existing rows either by adding new data or modifying existing data.

```
INSERTtmpPublishers(pub_id,pub_name)
SELECTPubID,PubName
FROMPublishers
```

This example uses the UPDATE statement to standardize the country field to be Canada for all records in the Publishers table.

```
UPDATE Publishers
SETcountry='Canada'
```

This example increases the royalty amount by 10% for those royalty amounts between 10 and 20.

```
UPDATEroysched
SET royalty = royalty + (royalty * .10)
WHEREroyaltyBETWEEN10and20
```

## Including subqueries in an UPDATE statement

The employees from the Employees table who were hired by the publisher in 2010 are given a promotion to the highest job level for their job type. This is what the

```
UPDATE Employees
SET job_lvl =
(SELECT max_lvl FROM jobs
WHERE employee.job_id = jobs.job_id)
WHERE DATEPART(year, employee.hire_date) = 2010
```

UPDATE statement would look like.

---

## 2.6 DELETE STATEMENT

---

The *DELETE statement* removes rows from a record set. DELETE names the table or view that holds the rows that will be deleted and only one table or row may be listed at a time. WHERE is a standard WHERE clause that limits the deletion to select records.

The DELETE syntax looks like this.

```
DELETE [FROM] {table_name | view_name} [
WHERE clause]
```

The rules for the DELETE statement are:

1. If you omit a WHERE clause, all rows in the table are removed (except for indexes, the table, constraints).

2. DELETE cannot be used with a view that has a FROM clause naming more than one table. (Delete can affect only one base table at a time.)

What follows are three different DELETE statements that can be used.

1. Deleting all rows from a table.

```
DELETE
FROMDiscounts
```

2. Deleting selected rows:

```
DELETE
FROMSales
WHEREstor_id='6380'
```

3. Deleting rows based on a value in a subquery:

```
DELETEFROMSales
WHEREtitle_idIN
(SELECTtitle_idFROMBooks WHEREtype='mod_cook')
```

---

## 2.7 Built-in Functions

---

There are many built-in functions in SQL Server such as:

1. *Aggregate*: returns summary values
2. *Conversion*: transforms one data type to another
3. *Date*: displays information about dates and times
4. *Mathematical*: performs operations on numeric data
5. *String*: performs operations on character strings, binary data or expressions
6. *System*: returns a special piece of information from the database
7. *Text and image*: performs operations on text and image data

Below you will find detailed descriptions and examples for the first four functions.

### Aggregate functions

Aggregate functions perform a calculation on a set of values and return a single, or summary, value. Table 16.4 lists these functions.

FUNCTION	DESCRIPTION
AVG	Returns the average of all the values, or only the DISTINCT values, in the expression.
COUNT	Returns the number of non-null values in the expression. When DISTINCT is specified, COUNT finds the number of unique non-null values.
COUNT(*)	Returns the number of rows. COUNT(*) takes no parameters and cannot be used with DISTINCT.
MAX	Returns the maximum value in the expression. MAX can be used with numeric, character and datetime columns, but not with bit columns. With character columns, MAX finds the highest value in the collating sequence. MAX ignores any null values.
	Returns the minimum value in the expression. MIN can be used with numeric, character and datetime columns, but not with bit columns. With

MIN	character columns, MIN finds the value that is lowest in the sort sequence. MIN ignores any null values.
SUM	Returns the sum of all the values, or only the DISTINCT values, in the expression. SUM can be used with numeric columns only.

*Table 16.4 A list of aggregate functions and descriptions.*

Below are examples of each of the aggregate functions listed in Table 16.4.

**Example #1: AVG**

```
SELECTAVG(price)AS'AverageTitlePrice'
FROMBooks
```

**Example #2: COUNT**

```
SELECTCOUNT(PubID)AS'NumberofPublishers'
FROMPublishers
```

**Example #3: COUNT**

```
SELECTCOUNT(province)AS'NumberofPublishers'
FROMPublishers
```

**Example #3: COUNT (\*)**

```
SELECTCOUNT(*)  
FROM Employees  
WHEREjob_lvl=35
```

#### **Example #4: MAX**

```
SELECT MAX (HireDate)  
FROMEmployees
```

#### **Example #5: MIN**

```
SELECT MIN (price)  
FROMBooks
```

#### **Example #6: SUM**

```
SELECTSUM(discount)AS'TotalDiscounts'  
FROMDiscounts
```

### **Conversion function**

The conversion function transforms one data type to another.

In the example below, a price that contains two 9s is converted into five characters. The syntax for this statement is `SELECT 'The date is ' + CONVERT(varchar(12), getdate())`.

```
SELECTCONVERT(int,10.6496)
SELECTtitle_id,price
FROMBooks
WHERECONVERT(char(5),price)LIKE'%99%'
```

In this second example, the conversion function changes data to a data type with a different size.

```
SELECTtitle_id,CONVERT(char(4),ytd_sales)as'Sales'
FROMBooks
WHEREtypeLIKE'%cook'
```

### **Date function**

The date function produces a date by adding an interval to a specified date. The result is a datetime value equal to the date plus the number of date parts. If the date parameter is a smalldatetime value, the result is also a smalldatetime value.

The DATEADD function is used to add and increment date values. The syntax for this function is DATEADD(datepart, number, date).

```
SELECT DATEADD(day, 3, hire_date)
FROM Employees
```

In this example, the function DATEDIFF(datepart, date1, date2) is used.

This command returns the number of datepart “boundaries” crossed between two specified dates. The method of counting crossed boundaries makes the result given by DATEDIFF consistent across all data types such as minutes, seconds, and milliseconds.

```
SELECT DATEDIFF(day, HireDate, 'Nov301995')
FROM Employees
```

For any particular date, we can examine any part of that date from the year to the millisecond.

The date parts (DATEPART) and abbreviations recognized by SQL Server, and the acceptable values are listed in Table 16.5.

DATE PART	ABBREVIATION	VALUES
Year	yy	1753-9999
Quarter	qq	1-4
Month	mm	1-12
Day of year	dy	1-366

Day	dd	1-31
Week	wk	1-53
Weekday	dw	1-7 (Sun.-Sat.)
Hour	hh	0-23
Minute	mi	0-59
Second	ss	0-59
Millisecond	ms	0-999

*Table 16.5. Date part abbreviations and values.*

### **Mathematical functions**

Mathematical functions perform operations on numeric data. The following example lists the current price for each book sold by the publisher and what they would be if all prices increased by 10%.

```

SELECT Price,(price*1.1)AS'NewPrice',title
FROM Books

SELECT 'Square Root' =
SQRT(81)

SELECT 'Rounded'=ROUND(4567.9876,2)

SELECT FLOOR(123.45)

```

---

## 2.8 LET US SUM UP

---

In this chapter, we have studied introduce the SQL- data manipulation language. We also studied in SQL-DML some statement like select, insert ,update ,delete statements. Finally, we ended the discussion about built in functions. Built in function is aggregate, conversion, Date, mathematical Function etc.

---

## 2.9 GLOSSARY

---

**aggregate function:** returns summary values

**ASC:** ascending order

**conversion function:** transforms one data type to another

**date function:** displays information about dates and times

**DELETE statement:** removes rows from a record set

**DESC:** descending order

**GROUP BY:** used to create one output row per each group and produces summary values for the selectedcolumns

**INSERT statement:** adds rows to a table

**mathematical function:** performs operations on numeric data

**SELECT statement:** used to query data in the database

**string function:** performs operations on character strings, binary data or expressions

**system function:** returns a special piece of information from the database

**text and image functions:** performs operations on text and image data

**UPDATE statement:** changes data in existing rows either by adding new data or modifying existing data

**wildcard:** allows the user to match fields that contain certain letters.

## 2.9 CHECK YOUR PROGRESS

1	<p>The query given below will not give an error. Which one of the following has to be replaced to get the desired output?</p> <p><b>SELECT</b> ID, name, dept name, salary * 1.1  <b>WHERE</b> instructor;</p> <table border="1" data-bbox="368 528 1461 613"> <tr> <td>A</td> <td>Salary*1.1</td> <td>B</td> <td>ID</td> </tr> <tr> <td>C</td> <td>Where</td> <td>D</td> <td>Instructor</td> </tr> </table>			A	Salary*1.1	B	ID	C	Where	D	Instructor												
A	Salary*1.1	B	ID																				
C	Where	D	Instructor																				
2	<p><b>SELECT * FROM</b> employee <b>WHERE</b> dept_name="Comp Sci";          In the SQL given above there is an error . Identify the error.</p> <table border="1" data-bbox="368 701 1461 790"> <tr> <td>A</td> <td>Dept_name</td> <td>B</td> <td>Employee</td> </tr> <tr> <td>C</td> <td>"Comp Sci"</td> <td>D</td> <td>From</td> </tr> </table>			A	Dept_name	B	Employee	C	"Comp Sci"	D	From												
A	Dept_name	B	Employee																				
C	"Comp Sci"	D	From																				
3	<p><b>SELECT</b> instructor.*  <b>FROM</b> instructor, teaches  <b>WHERE</b> instructor.ID= teaches.ID;          This query does which of the following operation?</p> <table border="1" data-bbox="368 958 1461 1176"> <tr> <td>A</td> <td>All attributes of instructor and teaches are selected</td> <td>B</td> <td>All attributes of instructor are selected on the given condition</td> </tr> <tr> <td>C</td> <td>All attributes of teaches are selected on given condition</td> <td>D</td> <td>Only the some attributes from instructed and teaches are selected</td> </tr> </table>			A	All attributes of instructor and teaches are selected	B	All attributes of instructor are selected on the given condition	C	All attributes of teaches are selected on given condition	D	Only the some attributes from instructed and teaches are selected												
A	All attributes of instructor and teaches are selected	B	All attributes of instructor are selected on the given condition																				
C	All attributes of teaches are selected on given condition	D	Only the some attributes from instructed and teaches are selected																				
4	<table border="1" data-bbox="368 1182 1583 1503"> <thead> <tr> <th>Employee_id</th> <th>Name</th> <th>Salary</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>Annie</td> <td>6000</td> </tr> <tr> <td>1009</td> <td>Ross</td> <td>4500</td> </tr> <tr> <td>1018</td> <td>Zeith</td> <td>7000</td> </tr> </tbody> </table> <p>This is Employee table.          Which of the following employee_id will be displayed for the given query?  <b>SELECT * FROM</b> employee <b>WHERE</b> employee_id&gt;1009;</p> <table border="1" data-bbox="368 1675 1461 1765"> <tr> <td>A</td> <td>1009, 1001, 1018</td> <td>B</td> <td>1009, 1018</td> </tr> <tr> <td>C</td> <td>1001</td> <td>D</td> <td>1018</td> </tr> </table>			Employee_id	Name	Salary	1001	Annie	6000	1009	Ross	4500	1018	Zeith	7000	A	1009, 1001, 1018	B	1009, 1018	C	1001	D	1018
Employee_id	Name	Salary																					
1001	Annie	6000																					
1009	Ross	4500																					
1018	Zeith	7000																					
A	1009, 1001, 1018	B	1009, 1018																				
C	1001	D	1018																				
5	<p>In the given query which of the keyword has to be inserted?  <b>INSERTINTO</b> employee _____ (1002,Joey,2000);</p> <table border="1" data-bbox="368 1848 1461 1930"> <tr> <td>A</td> <td>Tables</td> <td>B</td> <td>Values</td> </tr> <tr> <td>C</td> <td>Relation</td> <td>D</td> <td>Field</td> </tr> </table>			A	Tables	B	Values	C	Relation	D	Field												
A	Tables	B	Values																				
C	Relation	D	Field																				

---

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

---

1. C- where
2. C-“Comp Sci”
3. B - All attributes of instructor are selected on the given condition
4. D-1018
5. B- values

---

## 2.10 FURTHER READING

---

1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

# Unit 3: SQL – Join Statements

## 3

### Unit Structure

- 3.1. Learning Objectives
- 3.2. Introduction to Joining Tables
- 3.3. Inner join
- 3.4. Left outer join
- 3.5. Right outer join
- 3.6. Full outer join
- 3.7. Cross join
- 3.8. Let Us Sum Up
- 3.9. Glossary
- 3.10. Check Your Progress
- 3.11. Further Reading

---

## 3.1 LEARNING OBJECTIVES

---

After studying this unit you should be able to understand following:

- Inner join
- Outer join
  - Left outer join
  - Right outer join
- Cross join

---

## 3.2 Introduction to Joining Tables

---

### Joining Tables

Joining two or more tables is the process of comparing the data in specified columns and using the comparison results to form a new table from the rows that qualify. A join statement:

- Specifies a column from each table
- Compares the values in those columns row by row
- Combines rows with qualifying values into a new row

Although the comparison is usually for equality – values that match exactly – other types of joins can also be specified. All the different joins such as inner, left (outer), right (outer), and cross join will be described below.

---

### 3.3 Inner join

---

An *inner join* connects two tables on a column with the same data type. Only the rows where the column values match are returned; unmatched rows are discarded.

### Example #1

```
SELECT jobs.job_id, job_desc
FROM jobs
INNER JOIN Employees ON employee.job_id = jobs.job_id
WHERE jobs.job_id < 7
```

### Example #2

```
SELECT authors.au_fname, authors.au_lname, books.royalty, title
FROM authors INNER JOIN titleauthor ON authors.au_id = titleauthor.au_id
INNER JOIN books ON titleauthor.title_id = books.title_id
GROUP BY authors.au_lname, authors.au_fname, title, title.royalty
ORDER BY authors.au_lname
```

## 3.4 Left Outer Join

A *left outer join* specifies that all left outer rows be returned. All rows from the left table that did not meet the condition specified are included in the results set, and output columns from the other table are set to NULL.

This first example uses the new syntax for a left outer join.

This is an example of a left outer join using the old syntax.

```
SELECT publishers.pub_name, books.title
FROM Publishers, Books
WHERE publishers.pub_id* = books.pub_id
```

---

## 3.5 Right Outer Join

---

A *right outer join* includes, in its result set, all rows from the right table that did not meet the condition specified. Output columns that correspond to the other table are set to NULL.

Below is an example using the new syntax for a right outer join.

```
SELECT titleauthor.title_id, authors.au_lname, authors.au_fname
FROM titleauthor
RIGHT OUTER JOIN authors ON titleauthor.au_id=authors.au_id
ORDER BY au_lname
```

This second example show the old syntax used for a right outer join.

```
SELECT titleauthor.title_id, authors.au_lname, authors.au_fname
FROM titleauthor, authors
WHERE titleauthor.au_id=*authors.au_id
ORDER BY au_lname
```

---

## 3.6 Full Outer Join

---

A *full outer join* specifies that if a row from either table does not match the selection criteria, the row is included in the result set, and its output columns that correspond to the other table are set to NULL.

Here is an example of a full outer join.

```
SELECT books.title, publishers.pub_name, publishers.province
FROM Publishers
FULL OUTER JOIN Books ON books.pub_id = publishers.pub_id
WHERE (publishers.province <> "BC" and publishers.province <> "ON")
ORDER BY books.title_id
```

---

## 3.7 Cross Join

---

A *cross join* is a product combining two tables. This join returns the same rows as if no WHERE clause were specified. For example:

```
SELECT au_lname, pub_name,
FROM Authors CROSS JOIN Publishers
```

---

## 3.8 LET US SUM UP

---

In this chapter, we have studied the SQL- Joining tables. In the joining tables are three types: inner join, outer join, cross join. Finally, we ended the discussion outer join types: left outer join. Right outer join and full outer join.

---

## 3.9 GLOSSARY

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**cross join:** a product combining two tables

**full outer join:** specifies that if a row from either table does not match the selection criteria

**inner join:** connects two tables on a column with the same data type

**left outer join:** specifies that all left outer rows be returned

**right outer join:** includes all rows from the right table that did not meet the condition specified

### 3.10 CHECK YOUR PROGRESS

1	The ____ condition allows a general predicate over the relations being joined.			
	A	On	B	Using
	C	Set	D	Where
2	Which of the join operations do not preserve non matched tuples?			
	A	Left outer join	B	Right outer join
	C	Inner join	D	Natural join
3	<b>SELECT *</b> <b>FROM</b> student <b>JOIN</b> takes <b>USING</b> (ID); The above query is equivalent to			
	A	<b>SELECT*</b> <b>FROM</b> student <b>INNERJOIN</b> takes <b>USING</b> (ID);	B	<b>SELECT*</b> <b>FROM</b> student <b>OUTERJOIN</b> takes <b>USING</b> (ID);
	C	<b>SELECT*</b> <b>FROM</b> student <b>LEFTOUTERJOIN</b> takes <b>USING</b> (ID);	D	None of the mentioned.
4	What type of join is needed when you wish to include rows that do not have matching values?			
	A	Equi-join	B	Natural join
	C	Outer join	D	All of the above
5	Which are the join types in join condition:			
	A	Cross join	B	Natural join
	C	Join with USING clause	D	All of the above.

### CHECK YOUR PROGRESS: POSSIBLE ANSWER

1. A-on
2. C-inner join
3. A-**SELECT \***  
**FROM** student **INNERJOIN** takes **USING** (ID);

4. C-outer join
5. D –all of the above

---

## **3.11 FURTHER READING**

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

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# Unit 4: Database Development Process

4

## Unit Structure

- 4.1. Learning Objectives
- 4.2. SDLC-Waterfall Model
- 4.3. Database Life Cycle
- 4.4. Let Us Sum Up
- 4.5. Glossary
- 4.6. Check Your Progress
- 4.7. Further Reading
- 4.8. Assignments

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## 4.1 LEARNING OBJECTIVES

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After studying this unit you should be able to understand following:

- SDLC-WATERFAL
- DATABASE LIFE CYCLE
  - Requirement gathering
  - Analysis
  - Logical design
  - Implementation
    - Realize the design
    - Populating the database

---

## 4.2 SDLC-WATERFALL MODEL

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A core aspect of software engineering is the subdivision of the development process into a series of phases, or steps, each of which focuses on one aspect of the development. The collection of these steps is sometimes referred to as the *software development life cycle (SDLC)*. The software product moves through this life cycle (sometimes repeatedly as it is refined or redeveloped) until it is finally retired from use. Ideally, each phase in the life cycle can be checked for correctness before moving on to the next phase.

### Software Development Life Cycle – Waterfall

Let us start with an overview of the *waterfall model* such as you will find in most software engineering textbooks.

This waterfall figure, seen in Figure 4.1, illustrates a general waterfall model that could apply to any computer system development. It shows the process as a strict sequence of steps where the output of one step is the input to the next and all of one step has to be completed before moving onto the next.

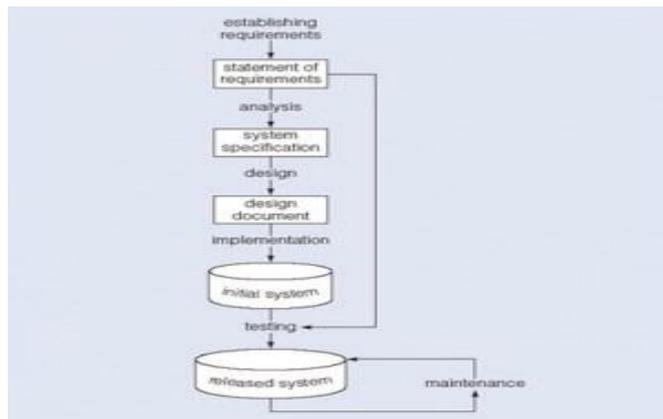


Figure 4.1. Waterfall model.

We can use the *waterfall process* as a means of identifying the tasks that are required, together with the input and output for each activity. What is important is the scope of the activities, which can be summarized as follows:

- *Establishing requirements* involves consultation with, and agreement among, stakeholders about what they want from a system, expressed as a statement of requirements.
- *Analysis* starts by considering the statement of requirements and finishes by producing a system specification. The specification is a formal representation of what a system should do, expressed in terms that are independent of how it may be realized.
- *Design* begins with a system specification, produces design documents and provides a detailed description of how a system should be constructed.
- *Implementation* is the construction of a computer system according to a given design document and taking into account the environment in which the system will be operating (e.g., specific hardware or software available for the development). Implementation may be staged, usually with an initial system that can be validated and tested before a final system is released for use.
- *Testing* compares the implemented system against the design documents and requirements specification and produces an acceptance report or, more usually, a list of errors and bugs that require a review of the analysis, design and implementation processes to correct (testing is usually the task that leads to the waterfall model iterating through the life cycle).
- *Maintenance* involves dealing with changes in the requirements or the implementation environment, bugfixing or porting of the system to new

environments (e.g., migrating a system from a standalone PC to aUNIX workstation or a networked environment). Since maintenance involves the analysis of the changesrequired, design of a solution, implementation and testing of that solution over the lifetime of amaintained software system, the waterfall life cycle will be repeatedly revisited.

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## 4.3 DATABASE LIFE CYCLE

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We can use the waterfall cycle as the basis for a model of database development that incorporates three assumptions:

1. We can separate the development of a database – that is, specification and creation of a schema to define data in a database – from the user processes that make use of the database.
2. We can use the three-schema architecture as a basis for distinguishing the activities associated with a schema.
3. We can represent the constraints to enforce the semantics of the data once within a database, rather than within every user process that uses the data.

Using these assumptions and Figure 4.2, we can see that this diagram represents a model of the activities and their outputs for database development. It is applicable to any class of DBMS, not just a relational approach.

Database application development is the process of obtaining real-world requirements, analyzing requirements, designing the data and functions of the system, and then implementing the operations in the system.

### **Requirements Gathering**

The first step is *requirements gathering*. During this step, the database designers have to interview the customers (database users) to understand the proposed system and obtain and document the data and functional requirements. The result of this step is a document that includes the detailed requirements provided by the users.

Establishing requirements involves consultation with, and agreement among, all the users as to what persistent data they want to store along with an agreement as to the meaning and interpretation of the data elements. The data administrator plays a key role in this process as they overview the business, legal and ethical issues within the organization that impact on the data requirements.

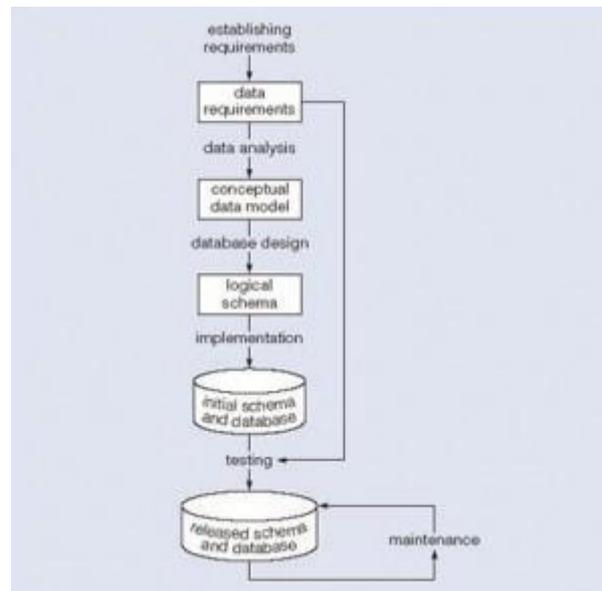


Figure 4.2. A waterfall model of the activities and their outputs for database development.

The *data requirements document* is used to confirm the understanding of requirements with users. To make sure that it is easily understood, it should not be overly formal or highly encoded. The document should give a concise summary of all users' requirements – not just a collection of individuals' requirements – as the intention is to develop a single shared database.

The requirements should not describe how the data is to be processed, but rather what the data items are, what attributes they have, what constraints apply and the relationships that hold between the data items.

## Analysis

Data analysis begins with the statement of data requirements and then produces a conceptual data model. The aim of analysis is to obtain a detailed description of the data that will suit user requirements so that both high and low level properties of data and their use are dealt with. These include properties such as the possible range of

values that can be permitted for attributes (e.g., in the school database example, the student course code, course title and credit points).

The conceptual data model provides a shared, formal representation of what is being communicated between clients and developers during database development – it is focused on the data in a database, irrespective of the eventual use of that data in user processes or implementation of the data in specific computer environments. Therefore, a conceptual data model is concerned with the meaning and structure of data, but not with the details affecting how they are implemented.

The conceptual data model then is a formal representation of what data a database should contain and the constraints the data must satisfy. This should be expressed in terms that are independent of how the model may be implemented. As a result, analysis focuses on the questions, “What is required?” not “How is it achieved?”

### **Logical Design**

Database design starts with a conceptual data model and produces a specification of a logical schema; this will determine the specific type of database system (network, relational, object-oriented) that is required. The relational representation is still independent of any specific DBMS; it is another conceptual data model.

We can use a relational representation of the conceptual data model as input to the logical design process. The output of this stage is a detailed relational specification, the logical schema, of all the tables and constraints needed to satisfy the description of the data in the conceptual data model. It is during this design activity that choices are made as to which tables are most appropriate for representing the data in a database. These choices must take into account various design criteria including, for example, flexibility for change, control of duplication and how best to represent the constraints. It is the tables defined by the logical schema that determine what data are stored and how they may be manipulated in the database.

Database designers familiar with relational databases and SQL might be tempted to go directly to implementation after they have produced a conceptual data model. However, such a direct transformation of the relational representation to SQL tables does not necessarily result in a database that has all the desirable properties: completeness, integrity, flexibility, efficiency and usability. A good conceptual data

model is an essential first step towards a database with these properties, but that does not mean that the direct transformation to SQL tables automatically produces a good database. This first step will accurately represent the tables and constraints needed to satisfy the conceptual data model description, and so will satisfy the completeness and integrity requirements, but it may be inflexible or offer poor usability. The first design is then flexed to improve the quality of the database design. *Flexing* is a term that is intended to capture the simultaneous ideas of bending something for a different purpose and weakening aspects of it as it is bent.

Figure 4.3 summarizes the iterative (repeated) steps involved in database design, based on the overview given. Its main purpose is to distinguish the general issue of what tables should be used from the detailed definition of the constituent parts of each table – these tables are considered one at a time, although they are not independent of each other. Each iteration that involves a revision of the tables would lead to a new design; collectively they are usually referred to as *second-cut designs*, even if the process iterates for more than a single loop.

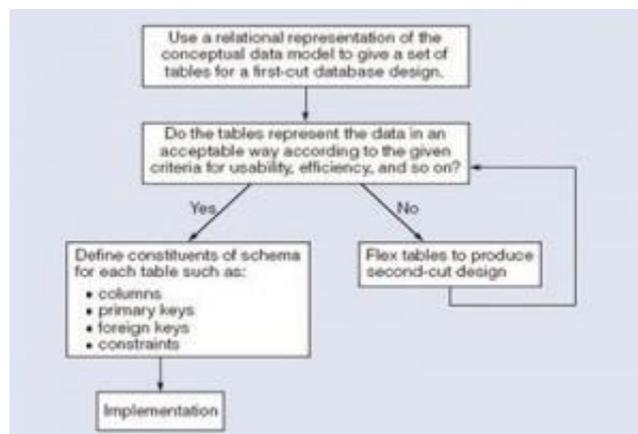


Figure 4.3. A summary of the iterative steps involved in database design.

First, for a given conceptual data model, it is not necessary that all the user requirements it represents be satisfied by a single database. There can be various reasons for the development of more than one database, such as the need for independent operation in different locations or departmental control over “their” data. However, if the collection of databases contains duplicated data and users need to access data in more than one database, then there are possible reasons that one

database can satisfy multiple requirements, or issues related to data replication and distribution need to be examined.

Second, one of the assumptions about database development is that we can separate the development of a database from the development of user processes that make use of it. This is based on the expectation that, once a database has been implemented, all data required by currently identified user processes have been defined and can be accessed; but we also require flexibility to allow us to meet future requirements changes. In developing a database for some applications, it may be possible to predict the common requests that will be presented to the database and so we can optimize our design for the most common requests.

Third, at a detailed level, many aspects of database design and implementation depend on the particular DBMS being used. If the choice of DBMS is fixed or made prior to the design task, that choice can be used to determine design criteria rather than waiting until implementation. That is, it is possible to incorporate design decisions for a specific DBMS rather than produce a generic design and then tailor it to the DBMS during implementation.

It is not uncommon to find that a single design cannot simultaneously satisfy all the properties of a good database. So it is important that the designer has prioritized these properties (usually using information from the requirements specification); for example, to decide if integrity is more important than efficiency and whether usability is more important than flexibility in a given development.

At the end of our design stage, the logical schema will be specified by SQL data definition language (DDL) statements, which describe the database that needs to be implemented to meet the user requirements.

## **Implementation**

Implementation involves the construction of a database according to the specification of a logical schema. This will include the specification of an appropriate storage schema, security enforcement, external schema and so on. Implementation is heavily influenced by the choice of available DBMSs, database tools and operating environment. There are additional tasks beyond simply creating a database schema and implementing the constraints – data must be entered into the tables, issues

relating to the users and user processes need to be addressed, and the management activities associated with wider aspects of corporate data management need to be supported. In keeping with the DBMS approach, we want as many of these concerns as possible to be addressed within the DBMS. We look at some of these concerns briefly now.

In practice, implementation of the logical schema in a given DBMS requires a very detailed knowledge of the specific features and facilities that the DBMS has to offer. In an ideal world, and in keeping with good software engineering practice, the first stage of implementation would involve matching the design requirements with the best available implementing tools and then using those tools for the implementation. In database terms, this might involve choosing vendor products with DBMS and SQL variants most suited to the database we need to implement. However, we don't live in an ideal world and more often than not, hardware choice and decisions regarding the DBMS will have been made well in advance of consideration of the database design. Consequently, implementation can involve additional flexing of the design to overcome any software or hardware limitations.

### **Realizing the Design**

After the logical design has been created, we need our database to be created according to the definitions we have produced. For an implementation with a relational DBMS, this will probably involve the use of SQL to create tables and constraints that satisfy the logical schema description and the choice of appropriate storage schema (if the DBMS permits that level of control).

One way to achieve this is to write the appropriate SQL DDL statements into a file that can be executed by a DBMS so that there is an independent record, a text file, of the SQL statements defining the database. Another method is to work interactively using a database tool like SQL Server Management Studio or Microsoft Access. Whatever mechanism is used to implement the logical schema, the result is that a database, with tables and constraints, is defined but will contain no data for the user processes.

### **Populating the Database**

After a database has been created, there are two ways of populating the tables – either from existing data or through the use of the user applications developed for the database.

For some tables, there may be existing data from another database or data files. For example, in establishing a database for a hospital, you would expect that there are already some records of all the staff that have to be included in the database. Data might also be brought in from an outside agency (address lists are frequently brought in from external companies) or produced during a large data entry task (converting hard-copy manual records into computer files can be done by a data entry agency). In such situations, the simplest approach to populate the database is to use the import and export facilities found in the DBMS.

Facilities to import and export data in various standard formats are usually available (these functions are also known in some systems as loading and unloading data). Importing enables a file of data to be copied directly into a table. When data are held in a file format that is not appropriate for using the import function, then it is necessary to prepare an application program that reads in the old data, transforms them as necessary and then inserts them into the database using SQL code specifically produced for that purpose. The transfer of large quantities of existing data into a database is referred to as a *bulk load*. Bulk loading of data may involve very large quantities of data being loaded, one table at a time so you may find that there are DBMS facilities to postpone constraint checking until the end of the bulk loading.

### **Guidelines for Developing an ER Diagram**

**Note:** These are general guidelines that will assist in developing a strong basis for the actual database design (the logical model).

1. Document all entities discovered during the information-gathering stage.
2. Document all attributes that belong to each entity. Select candidate and primary keys. Ensure that all non-key attributes for each entity are full-functionally dependent on the primary key.

3. Develop an initial ER diagram and review it with appropriate personnel. (Remember that this is an iterative process.)
4. Create new entities (tables) for multivalued attributes and repeating groups. Incorporate these new entities (tables) in the ER diagram. Review with appropriate personnel.
5. Verify ER modeling by normalizing tables.

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## 4.4 LET US SUM UP

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In this chapter, we have studied the SDLC-Waterfall model. We also studied about Database Life Cycle. Finally, we ended the discussion with database life cycle how to work database process.

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## 4.5 GLOSSARY

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**analysis:** starts by considering the statement of requirements and finishes by producing a systemspecification

**bulk load:** the transfer of large quantities of existing data into a database

**data requirements document:** used to confirm the understanding of requirements with the user

**design:** begins with a system specification, produces design documents and provides a detailed descriptionof how a system should be constructed

**establishing requirements:** involves consultation with, and agreement among, stakeholders as to what theywant from a system; expressed as a statement of requirements

**flexing:** a term that captures the simultaneous ideas of bending something for a different purpose andweakening aspects of it as it is bent

**implementation:** the construction of a computer system according to a given design document

**maintenance:** involves dealing with changes in the requirements or the implementation environment, bugfixing or porting of the system to new environments

**requirements gathering:** a process during which the database designer interviews the database user to understand the proposed system and obtain and document the data and functional requirements

**second-cut designs:** the collection of iterations that each involves a revision of the tables that lead to a new design

**software development life cycle (SDLC):** the series of steps involved in the database development process

**testing:** compares the implemented system against the design documents and requirements specification and produces an acceptance report

**waterfall model:** shows the database development process as a strict sequence of steps where the output of one step is the input to the next

**waterfall process:** a means of identifying the tasks required for database development, together with their input and output for each activity (see *waterfall model*)

## 4.5 CHECK YOUR PROGRESS

1	A preliminary investigation of the required database is called?			
	A	feasibility studies	B	data flow diagram
	C	all of these	D	feasibility study
2	What are the cost factors that are taken into consideration?			
	A	project planning	B	dataflow Diagram
	C	Requirement analysis	D	feasibility study
3	The ingredient of data modeling is?			
	A	relationship	B	attributes
	C	classes	D	A&B both
4	What are the Activities are performed in logical design process?			
	A	represent object	B	represents class
	C	represents relationship	D	None of these
5	The major objective of the Database designs is?			
	A	to map conceptual database model to an implementation model	B	to draw use case diagram
	C	to design integration model	D	to design the database model

## CHECK YOUR PROGRESS: POSSIBLE ANSWER

1. D -feasibility study

2. C-Requirement analysis
3. D- A&B both
4. C - represents relationship
5. A- to map conceptual database model to an implementation model

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## 4.6 FURTHER READING

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1. Database Design - 2nd Edition, by Adrienne Watt
2. Database System Concepts by Nguyen Kim Anh licensed under Creative Commons Attribution License 3.0 license

Website:

<https://www.sanfoundry.com/database-mcqs>

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## 4.7 ASSIGNMENT

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- 1) Describe the waterfall model. List the steps.
- 2) What does the acronym SDLC mean, and what does an SDLC portray?
- 3) What needs to be modified in the waterfall model to accommodate database design?
- 4) Provide the iterative steps involved in database design.