Dr. Babasaheb Ambedkar Open University
Term End Examination July – 2018

Course	**:**	**PGDF**	**Date**	**:**	**19/7/2018**
Subject Code	**:**	**PGDF-202**	**Time**	**:**	**11:00am to 02:00pm**
Subject Name	**:**	**Security Analysis and Portfolio Management**	**Duration**	**:**	**03 Hours**
			Max. Marks	**:**	**70**

Section A

Answer the following (Attempt any three) **(30)**

1. Define stock exchange. Compare primary market with the secondary market.
2. Explain the meaning and classification of bond..Also explains various types of bonds.
3. What is trading? Explain the basic trades of traded stock options.
4. Explain the concept of day trading. What are the techniques use in day trading?
5. Define mutual fund. Discuss how it works.

Section B

Answer the following (Attempt any four) **(20)**

1. Write a note on annual general meeting.
2. Explain the concept of risk and investment.
3. Explain the type of risk involved in mutual fund.
4. Write features about Risk Modeling?
5. State the different types of Speculators?
6. Write a note on: rights of preferred stock.

Section C

Part – A (Fill the blanks with appropriate option) **(10)**

1 _____is a form of business where two or more people operate for common goal of making profit.

A partnership B corporation
C Proprietorship D cooperative

2 New York Stock Exchange operates since:

A 1929 B 1792
C 1986 D 1994

3 DCF is known as:

A down cash flow B double cash flow
C delete cash flow D discounted cash flow

4 A closed-end fund is a type of mutual fund where shares issued when:

A fund is organized B fund is unorganized

C fund is copied D funds shows random behavior

5 Mutual fund scandals was in year:

A 1998 B 2000

C 2003 D 2007

6 The most favourable portfolio is the proficient portfolio with the

A lowest risk B highest risk

C highest utility D least investment

7 The word Bull and Big are used with which commercial activity?

A least investment B Banking

C Share Market D Production

8 _____varies inversely with profitability.

A Liquidity B Risk

C Equity D Profitability

9 As per the Dow theory, which among the following factors are affected:

A price movements B balance sheet

C Stock quantity D all of these

10 Which is not covered under three phase primary phase?

A distribution phase B trading phase

C public participation phase D panic phase

Part – B (Do as Directed) **(10)**

Explain the following terms (Any five)

1 Stock

2 Dividend

3 Bond

4 Hedge fund

5 Primary Market

6 Share